

Grantee: North Dakota-DOC

Grant: B-12-DT-38-0001

October 1, 2014 thru December 31, 2014 Performance Report



Grant Number:

B-12-DT-38-0001

Obligation Date:**Award Date:****Grantee Name:**

North Dakota-DOC

Contract End Date:**Review by HUD:**

Reviewed and Approved

Grant Award Amount:

\$11,782,684.00

Grant Status:

Active

QPR Contact:

Sherry Leno

LOCCS Authorized Amount:

\$11,782,684.00

Estimated PI/RL Funds:**Total Budget:**

\$11,782,684.00

Disasters:

Declaration Number

FEMA-1981-ND

Narratives

Disaster Damage:

In the late spring and early summer of 2011, the State of North Dakota was severely impacted by
>record-breaking floods state wide.

While communities in the Devils Lake Basin and those along the James, Sheyenne and Red
>River of the North were negatively impacted by the flooding, the communities of Bismarck and
>Mandan along the Missouri River and especially the City of Minot along the Mouse River
>received substantial damage from the 2011 flooding event.

Statewide residents were displaced in 28 neighborhoods including over 12,000 residents in the
>city of Minot. Mouse river floodwaters inundated 4,100 homes and businesses alone in Minot.

The 2011 Flood Report: Response and Recovery developed by the North Dakota Department of
>Emergency Services published in November 2011 indicates the final cost associated with the
>flooding is expected to easily exceed \$1.4 billion.

Recovery Needs:

The state will distribute \$11,782,684 of CDBG Disaster Recovery Funds toward meeting unmet housing,
>infrastructure, public service, public facility, and other needs in counties designated as Presidential
>Disaster Areas, as directed by Public Law 112-55 and HUD.

Current total needs for the 2011 flood event are estimated to be approximately \$390.3 million.

Housing & Individual Assistance

Residents of nine North Dakota counties and one reservation were able to register through
>FEMA's Individual Assistance program. Statewide more than 10,284 individuals or households
>registered for assistance by the November 21 end of the registration period.

Public Infrastructure

On May 10, the President issued a major disaster declaration for the state that opened eligibility
>for applications. For the 2011 Floods, a total of 44 counties and four tribal reservations were able
>to apply for assistance from the Public Assistance program.



Public Comment:

The 2011 Disaster Action Plan was available for public comment on June 25, 2011.
>The comment period ran from June 27 - July 5, 2011. The State of North Dakota did not
>receive any public comments for the 2011 Disaster Action Plan.

| Overall | This Report Period | To Date |
|------------------------------------------------|--------------------|-----------------|
| Total Projected Budget from All Sources | N/A | \$10,917,704.49 |
| Total Budget | \$0.00 | \$10,917,704.49 |
| Total Obligated | \$0.00 | \$10,911,274.49 |
| Total Funds Drawdown | \$1,122,379.43 | \$6,297,207.32 |
| Program Funds Drawdown | \$1,122,379.43 | \$6,283,977.82 |
| Program Income Drawdown | \$0.00 | \$13,229.50 |
| Program Income Received | \$0.00 | \$13,229.50 |
| Total Funds Expended | \$1,134,821.39 | \$6,574,251.79 |
| Match Contributed | \$0.00 | \$11,304,215.23 |

Progress Toward Required Numeric Targets

| Requirement | Required | To Date |
|-----------------------------------------------|----------------|-----------------|
| Overall Benefit Percentage (Projected) | | 52.53% |
| Overall Benefit Percentage (Actual) | | 40.41% |
| Minimum Non-Federal Match | \$0.00 | \$11,989,168.48 |
| Limit on Public Services | \$1,767,402.60 | \$0.00 |
| Limit on Admin/Planning | \$2,356,536.80 | \$597,929.33 |
| Limit on State Admin | \$589,134.20 | \$297,929.33 |

Progress Toward Activity Type Targets

| Activity Type | Target | Actual |
|------------------------|----------------|--------|
| Public services | \$1,767,402.60 | \$0.00 |

Progress Toward National Objective Targets

| National Objective | Target | Actual |
|--------------------|----------------|----------------|
| Low/Mod | \$5,891,342.00 | \$5,311,703.36 |

Overall Progress Narrative:

Construction has been suspended due to winter weather. Of the 11,483,684 authorized to the State of North Dakota 10,911,274.49 has been obligated, approximately 95%. Of the amount obligated, 6,574,252 has been expended. By the fall of 2015 most of the funds obligated should be spent.



Project Summary

| Project #, Project Title | This Report Period | To Date | |
|--------------------------------------------------|------------------------|------------------------|------------------------|
| | Program Funds Drawdown | Project Funds Budgeted | Program Funds Drawdown |
| 1-ACQ/Demo, Acquisition/Demolition | \$1,013.90 | \$5,028,308.53 | \$3,610,916.54 |
| 3-New Housing Production, New Housing Production | \$1,097,792.47 | \$4,700,000.00 | \$1,860,896.95 |
| 4-PF, Public Facility | \$0.00 | \$383,635.00 | \$214,235.00 |
| 5-Admin, Administration | \$23,573.06 | \$589,134.00 | \$297,929.33 |
| 6 - Planning, Planning | \$0.00 | \$300,000.00 | \$300,000.00 |



Activities

Project # / Title: 1-ACQ/Demo / Acquisition/Demolition

Grantee Activity Number: 3336-CD11-H-DIS-LMI

Activity Title: Acquisition - Ward County LMI

Activity Category:

Acquisition - general

Project Number:

1-ACQ/Demo

Projected Start Date:

05/11/2012

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Acquisition/Demolition

Projected End Date:

12/31/2014

Completed Activity Actual End Date:

Responsible Organization:

Ward County

Overall

Total Projected Budget from All Sources

Oct 1 thru Dec 31, 2014

N/A

To Date

\$228,068.36

Total Budget

\$0.00

\$228,068.36

Total Obligated

\$0.00

\$228,068.36

Total Funds Drawdown

\$0.00

\$228,068.36

Program Funds Drawdown

\$0.00

\$228,068.36

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$0.00

\$228,068.36

Ward County

\$0.00

\$228,068.36

Match Contributed

\$0.00

\$684,204.62

Activity Description:

Acquisition/demolition of flood damaged single family properties.

Location Description:

Ward County

Activity Progress Narrative:

No LMI properties acquired this quarter.



Accomplishments Performance Measures

| | This Report Period | Cumulative Actual Total / Expected |
|-----------------------------------|--------------------|------------------------------------|
| | Total | Total |
| # of Properties | 0 | 4/5 |
| # of Parcels acquired voluntarily | 0 | 0/5 |

| | This Report Period | Cumulative Actual Total / Expected |
|-------------------------|--------------------|------------------------------------|
| | Total | Total |
| # of Housing Units | 0 | 0/5 |
| # of Singlefamily Units | 0 | 0/5 |

Beneficiaries Performance Measures

| | This Report Period | | | Cumulative Actual Total / Expected | | | |
|--------------------|--------------------|-----|-------|------------------------------------|-----|-------|----------|
| | Low | Mod | Total | Low | Mod | Total | Low/Mod% |
| # of Households | 0 | 0 | 0 | 4/0 | 1/5 | 5/5 | 100.00 |
| # Owner Households | 0 | 0 | 0 | 4/0 | 1/5 | 5/5 | 100.00 |

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

| Other Funding Sources | Amount |
|--------------------------------|--------|
| No Other Funding Sources Found | |
| Total Other Funding Sources | |

| | |
|---------------------------------|---------------------------------------|
| Grantee Activity Number: | 3336-CD11-H-DIS-URG |
| Activity Title: | Ward County Acquisitions - URG |

Activity Category:

Acquisition - general

Project Number:

1-ACQ/Demo

Projected Start Date:

05/11/2012

Benefit Type:

()

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

Acquisition/Demolition

Projected End Date:

12/31/2014

Completed Activity Actual End Date:

Responsible Organization:

Ward County

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|------------------------------------------------|--------------------------------|-----------------|
| Total Projected Budget from All Sources | N/A | \$4,800,240.17 |
| Total Budget | \$0.00 | \$4,800,240.17 |
| Total Obligated | \$0.00 | \$4,800,240.17 |
| Total Funds Drawdown | \$1,013.90 | \$3,396,077.68 |
| Program Funds Drawdown | \$1,013.90 | \$3,382,848.18 |
| Program Income Drawdown | \$0.00 | \$13,229.50 |
| Program Income Received | \$0.00 | \$13,229.50 |
| Total Funds Expended | \$1,013.90 | \$3,673,122.15 |
| Ward County | \$1,013.90 | \$3,673,122.15 |
| Match Contributed | \$0.00 | \$10,342,492.94 |

Activity Description:

Acquisition/demolition of flooded properties.

Location Description:

Ward County

Activity Progress Narrative:

The County still has retainage to pay out in the spring, pending germination of vegetation. Several property documents have been submitted to the State and approved for closing. However, the closing has not taken place on these properties. Closing should occur in the next quarter. The County is hoping to wrap up this phase of the grant in mid-summer of 2015.

Accomplishments Performance Measures

| | This Report Period | Cumulative Actual Total / Expected |
|------------------------------------------|---------------------------|-------------------------------------------|
| | Total | Total |
| # of Properties | 0 | 48/69 |
| # of Parcels acquired voluntarily | 0 | 10/69 |



| | This Report Period | Cumulative Actual Total / Expected |
|-------------------------|--------------------|------------------------------------|
| | Total | Total |
| # of Housing Units | 0 | 0/53 |
| # of Singlefamily Units | 0 | 0/53 |

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

| Other Funding Sources | Amount |
|--------------------------------|--------|
| No Other Funding Sources Found | |
| Total Other Funding Sources | |

Project # / Title: 3-New Housing Production / New Housing Production

| | |
|---------------------------------|---------------------------------|
| Grantee Activity Number: | 3336-CD11-H-DIS |
| Activity Title: | Burlington Yale Property |

Activity Category:

Construction of new housing

Project Number:

3-New Housing Production

Projected Start Date:

07/01/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

New Housing Production

Projected End Date:

09/30/2015

Completed Activity Actual End Date:

Responsible Organization:

Ward County

Overall

| | Oct 1 thru Dec 31, 2014 | To Date |
|-----------------------------------------|-------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$4,700,000.00 |
| Total Budget | \$0.00 | \$4,700,000.00 |
| Total Obligated | \$0.00 | \$4,700,000.00 |



| | | |
|--------------------------------|----------------|----------------|
| Total Funds Drawdown | \$1,097,792.47 | \$1,860,896.95 |
| Program Funds Drawdown | \$1,097,792.47 | \$1,860,896.95 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$1,097,792.47 | \$1,860,896.95 |
| Ward County | \$1,097,792.47 | \$1,860,896.95 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

The development of this project will provide 40 low to moderate income multi-family housing units, 6 duplex properties to include a total of 12 living units and 13 single family homes. The flood event of 2011 decimated the housing inventory in Burlington. This development will further allow the citizen of Burlington and surrounding area the opportunity or quality, affordable housing in a desirable community with 51% of the units meeting the LMI requirements.

Location Description:

City of Burlington, ND

Activity Progress Narrative:

The Burlington Housing infrastructure portion of the project is in the construction phase, however work has been suspended until spring due to winter weather. The curb and gutter, paving of the road and spreading of top soil will be done in the spring. Construction on the building will begin in the spring. The grant administrator will be holding pre-construction conferences in mid-March or April.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

| Other Funding Sources | Amount |
|--------------------------------|--------|
| No Other Funding Sources Found | |
| Total Other Funding Sources | |

Project # / Title: 4-PF / Public Facility



Grantee Activity Number: 3336-CD11-PF-DIS

Activity Title: Sawyer Lift Station

Activity Category:

Rehabilitation/reconstruction of a public improvement

Project Number:

4-PF

Projected Start Date:

07/01/2013

Benefit Type:

Area ()

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Public Facility

Projected End Date:

10/31/2013

Completed Activity Actual End Date:

Responsible Organization:

Ward County

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|------------------------------------------------|--------------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$169,400.00 |
| Total Budget | \$0.00 | \$169,400.00 |
| Total Obligated | \$0.00 | \$169,400.00 |
| Total Funds Drawdown | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$0.00 | \$0.00 |
| State of ND | \$0.00 | \$0.00 |
| Ward County | \$0.00 | \$0.00 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

Repairs to the Sawyer lift station that was damaged by the Mouse River flood waters in 2011. Reconstructing the lift station will ensure that the City of Sawyer's sewage can be properly transported to their lagoon for years to come. The project will move the lift station farther away from the existing levee system and is not in the 100 year flood plain. By moving the lift station this will allow for greater protection of the system and easier access to the levee system if there is a need for emergency flood fighting to protection.

Location Description:

City of Sawyer, ND

Activity Progress Narrative:

Construction is nearly complete.



Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: 3450-CD11-PF-DIS-LMI

Activity Title: Lagoon Repair

Activity Category:

Rehabilitation/reconstruction of a public improvement

Project Number:

4-PF

Projected Start Date:

04/03/2013

Benefit Type:

Area ()

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Public Facility

Projected End Date:

06/30/2014

Completed Activity Actual End Date:

Responsible Organization:

Velva

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|------------------------------------------------|--------------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$214,235.00 |
| Total Budget | \$0.00 | \$214,235.00 |
| Total Obligated | \$0.00 | \$214,235.00 |
| Total Funds Drawdown | \$0.00 | \$214,235.00 |
| Program Funds Drawdown | \$0.00 | \$214,235.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$0.00 | \$214,235.00 |
| Velva | \$0.00 | \$214,235.00 |
| Match Contributed | \$0.00 | \$247,852.86 |

Activity Description:

Lift stations repairs which was damaged by the Mouse River flood waters in 2011.

Location Description:

City of Sawyer Lift Station

Activity Progress Narrative:

This activity is complete.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: 5-Admin / Administration

Grantee Activity Number: 3336-CD11-H-DIS - Admin WC

Activity Title: Ward County Administration

Activity Category:

Administration

Activity Status:

Under Way

Project Number:

5-Admin

Project Title:

Administration

Projected Start Date:

05/11/2012

Projected End Date:

05/31/2013

Benefit Type:

()

Completed Activity Actual End Date:

National Objective:

N/A

Responsible Organization:

Ward County

Overall

Total Projected Budget from All Sources

Oct 1 thru Dec 31, 2014

N/A

To Date

\$143,665.96

Total Budget

\$0.00

\$143,665.96

Total Obligated

\$0.00

\$143,665.96

Total Funds Drawdown

\$14,743.69

\$50,052.88

Program Funds Drawdown

\$14,743.69

\$50,052.88

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$14,743.69

\$50,052.88

Ward County

\$14,743.69

\$50,052.88



Match Contributed

\$0.00

\$0.00

Activity Description:

Ward County Administration

Location Description:

Ward County

Activity Progress Narrative:

Ward County continues to work on Administrative Activities for Acq/Demo, Burlington Housing and Sawyer Lift Station.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: 3450-CD11-PF-DIS - Admin Velva

Activity Title: Velva Administration

Activity Category:

Administration

Project Number:

5-Admin

Projected Start Date:

04/03/2013

Benefit Type:

()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

Administration

Projected End Date:

06/30/2014

Completed Activity Actual End Date:

Responsible Organization:

Velva

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|------------------------------------------------|--------------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$6,430.00 |
| Total Budget | \$0.00 | \$6,430.00 |
| Total Obligated | \$0.00 | \$0.00 |
| Total Funds Drawdown | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$0.00 | \$0.00 |
| Velva | \$0.00 | \$0.00 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

Administration for lagoon repair.

Location Description:

City of Velva

Activity Progress Narrative:

Final draw was on hold for indirect cost questions. The issues have been resolved and the request for funds will be paid in the next quarter.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



| | |
|---------------------------------|-----------------------------|
| Grantee Activity Number: | B12 |
| Activity Title: | State Administration |

Activity Category:

Administration

Project Number:

5-Admin

Projected Start Date:

04/16/2012

Benefit Type:

()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

Administration

Projected End Date:

11/30/2015

Completed Activity Actual End Date:

Responsible Organization:

State of ND

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|------------------------------------------------|--------------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$355,665.00 |
| Total Budget | \$0.00 | \$355,665.00 |
| Total Obligated | \$0.00 | \$355,665.00 |
| Total Funds Drawdown | \$8,829.37 | \$247,876.45 |
| Program Funds Drawdown | \$8,829.37 | \$247,876.45 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$21,271.33 | \$247,876.45 |
| State of ND | \$21,271.33 | \$247,876.45 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

State Administration

Location Description:

State of ND

Activity Progress Narrative:

The Department of Commerce will continue to administer the CDBG Flood funds.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: 6 - Planning / Planning

Grantee Activity Number: 6 - Planning

Activity Title: Planning

Activity Category:

Planning

Project Number:

6 - Planning

Projected Start Date:

05/07/2013

Benefit Type:

Area ()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

Planning

Projected End Date:

05/31/2014

Completed Activity Actual End Date:

Responsible Organization:

State of ND

Overall

Total Projected Budget from All Sources

Oct 1 thru Dec 31, 2014

N/A

To Date

\$300,000.00

Total Budget

\$0.00

\$300,000.00

Total Obligated

\$0.00

\$300,000.00

Total Funds Drawdown

\$0.00

\$300,000.00

Program Funds Drawdown

\$0.00

\$300,000.00

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$0.00

\$300,000.00

State of ND

\$0.00

\$300,000.00



Match Contributed

\$0.00

\$29,664.81

Activity Description:

Planning

Location Description:

Statewide Disaster Planning

Activity Progress Narrative:

This activity is complete.

Accomplishments Performance Measures

| | This Report Period | Cumulative Actual Total / Expected |
|---------------------------------|--------------------|------------------------------------|
| | Total | Total |
| # of Plans or Planning Products | 0 | 0/1 |

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

| Other Funding Sources | Amount |
|--------------------------------|--------|
| No Other Funding Sources Found | |
| Total Other Funding Sources | |

Monitoring, Audit, and Technical Assistance

| Event Type | This Report Period | To Date |
|----------------------------------------------|--------------------|---------|
| Monitoring, Audits, and Technical Assistance | 0 | 4 |
| Monitoring Visits | 0 | 0 |
| Audit Visits | 0 | 0 |
| Technical Assistance Visits | 0 | 2 |
| Monitoring/Technical Assistance Visits | 0 | 3 |



Report/Letter Issued

0

0

