



FY2017

CONSOLIDATED ANNUAL PERFORMANCE EVALUATION REPORT

FOR NORTH DAKOTA

July 1, 2017 – June 30, 2018

Prepared By:

**ND Department of Commerce Division of Community Services
1600 East Century Avenue, Suite 2
PO Box 2057**

Bismarck, ND 58502-2057

Phone: (701) 328-5300

Fax: (701) 328-5320

TTY: 800-366-6888

ND Relay Voice: 800-366-6889 ND Relay Spanish: 800-435-8590

Contact Person: Bonnie Malo



ALTERNATIVE FORMATS ARE AVAILABLE UPON REQUEST

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CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

Homeless Assistance

Renewed statewide Shelter Plus Care grant and will continue to submit renewal applications for these projects in 2017 and 2018.

Continued to set-aside 30% of the Emergency Solutions Grants program funds for homeless prevention and rapid re-housing activities. As of June 30, 2018, 100% of the \$727,875.00 were used for shelter operations, homeless prevention, rapid re-housing and HMIS activities.

Emergency Solutions Grant funds were awarded to 27 agencies for FY2017.

Continue to participate in the Governor's Interagency Council on Homelessness.

In the 2017-2019 biennium, the State allocated \$300,000 to the Department of Commerce for Homeless Assistance. We developed a grant very similar to the Emergency Solutions Grant with some exceptions. We added the flexibility of going above the Fair Market Rents due to the oil boom. Also added was the income limits up to 50% instead of 30%. With the addition of these funds, the Emergency Solutions Grant funding was used more for operations, although keeping within the 60% threshold for the operations component.

Our state takes the stance of Housing First and will continue this philosophy.

Continuum of Care

Continue to participate and provide technical assistance to the Statewide Continuum of Care process.

The Statewide Continuum continues to make permanent supportive housing its number one priority. The Shelter Plus Care applications continue to rank high due to their ability to provide permanent supportive housing to persons and families with disabilities as well as persons with HIV/AIDS.

Affordable Housing

Improve the quality and condition of the existing rental and owner-occupied housing stock, emphasizing rehabilitation of low income and elderly household units and units owned and rented by households in the 0-30 percent MFI income categories, minorities, and persons with disabilities. The goals are to use HOME funds to rehabilitate approximately 140 units over five years, to use CDBG funds to rehabilitate or assist in rehabilitation of at least 242 units over five years, and to use HTF funds to rehabilitate 50 units over five years.

For program year 2017, the HOME program assisted in the rehabilitation of 83 units, while 21 units were rehabilitated under the CDBG program. HTF has committed assistance to six multifamily rental projects in 2016 and 2017. The projects are in various stages of development from pre-construction to occupied, however none have yet satisfied all of the post-closing requirements necessary to receive their retainage (5%), and therefore have not yet been entered as completed projects in IDIS.

Housing rehabilitation activities were affected by the lead-based paint requirements and increased labor costs. Also funding levels continue to limit the number of activities that could be undertaken. We expect to see fewer homes rehabilitated in the future.

Homeownership Opportunities

The HOME program has the goal to fund 400 households with HOME funds over the five year consolidated plan to use for homeownership.

In 2017, the HOME program did not receive any requests for Homebuyer assistance.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source	Indicator	Unit of Measure	Expected Strategic Plan	Actual Strategic Plan	Percent Complete	Expected Program Year	Actual Program Year	Percent Complete
Create additional transitional and PSH	Homeless	ESG /HTF	Rental units constructed/Rental units rehabilitated	Household Housing Unit	0	0	0.00%	3	0	0.00%
Create additional transitional and PSH	Homeless	ESG /HTF	Overnight/Emergency Shelter/Transitional Housing Beds added	Beds	10	15	150.00%	5	5	100.00%
Encourage communities to do strategic planning	Non-Housing Community Development	CDBG	Other	Other	15	0	0.00%	3	0	0.00%
Encourage economic development opportunities	Non-Housing Community Development	CDBG	Businesses assisted	Businesses Assisted	1,250	159	12.72%	5	23	460.00%
Encourage public facilities and services	Non-Housing Community Development	CDBG	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	65,000	51,025	78.50%	13,000	14,520	111.69%

Engage in funding disaster relief areas	Non-Housing Community Development	CDBG/CDBG-DR	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit	Households Assisted	1	1	100.00%	1	1	100.00%
Enhance local public infrastructure	Non-Housing Community Development	CDBG	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	6,000	35,638	593.97%	1,200	3,594	299.50%
Fund homeless prevention activities	Homeless	HOME/ESG	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	0	539	0.00%	10	539	5,390.00%
Fund homeless prevention activities	Homeless	HOME/ESG	Homelessness Prevention	Persons Assisted	4,000	8,131	206.00%	500	1,445	289.00%
Fund homeownership opportunities for lower income	Affordable Housing	HOME	Homeowner Housing Added	Household Housing Unit	0	0	0.00%	5	0	0.00%
Fund homeownership opportunities for lower income	Affordable Housing	HOME:	Direct Financial Assistance to Homebuyers	Households Assisted	400	52	13.00%	0	0	0.00%

Preserve existing rental and owner housing stock	Affordable Housing	CDBG/HOME/HTF	Rental units rehabilitated	Household Housing Unit	650	342	52.62%	140	78	55.71%
Preserve existing rental and owner housing stock	Affordable Housing	CDBG/HOME/HTF	Homeowner Housing Added	Household Housing Unit	0	0	0.00%	8	0	0.00%
Preserve existing rental and owner housing stock	Affordable Housing	CDBG/HOME/HTF	Homeowner Housing Rehabilitated	Household Housing Unit	140	90	64.29%	30	45	150.00%
Provide financial support to at risk of homeless	Homeless	ESG	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	2,250	2,142	95.20%	450	295	65.56%
Provide funding to increase multifamily housing	Affordable Housing	HOME/HTF	Rental units constructed	Household Housing Unit	105	38	36.19%	15	38	253.33%
Support emergency shelters and transitional housing	Homeless	ESG	Homeless Person Overnight Shelter	Persons Assisted	68,000	190,533	280.20%	13,000	5,371	41.32%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

CDBG Program Goals and Objectives

- Creation of permanent jobs for very low and low income persons
- Economic diversification
- Leveraging of private and local investment
- Benefit to the state's economy
- Water and sewer activities which currently present a threat to health and safety
- Removal of architectural barriers which will permit access for the handicapped
- Direct benefit to a locality's very low and low income persons
- Leveraging local and other available financial resources to finance the cost of the activity
- Meeting the health and safety needs of very low income persons by bringing the rehabilitated housing up to a minimum of the Section 8 Housing Quality Standards
- Making more affordable housing available for very low and low income persons

The NDDOC has identified 10 priority development areas to meet the greatest needs of residents in the participating cities and non-entitlement areas of North Dakota. It will invest its CDBG, HOME, HTF, ESG, and other resources to address needs in the following priority areas:

- Low-income renter households
- Low-income Owner households
- Elderly and Frail Elderly
- Persons with Disabilities
- Special Needs Populations
- Homelessness
- Water and Wastewater systems
- Streets and Sidewalks
- Disaster Relief
- Retaining and Expanding Existing Businesses

2017 CDBG Allocation Priorities

- Preserve 140 existing rental and owner housing stock (CDBG/HOME/HTF)
- Encourage 5 economic development opportunities
- Assist 1,200 persons through local public infrastructure projects
- Assist 13,000 persons through public facilities and services
- Encourage 3 communities to do strategic planning

2017 CDBG Results

- Preserved 40 existing rental and owner housing stock
- Funded 23 economic development opportunities
- Assist 3,594 persons through local public infrastructure projects
- Assisted 14,520 person through public facilities and services
- No communities were funded through strategic planning
- Funded acquisition of land, creating 21 multi-family housing units

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	ESG
White	15,370	454	3,778
Black or African American	109	94	1,186
Asian	120	1	58
American Indian or American Native	2,350	73	1,630
Native Hawaiian or Other Pacific Islander	2	5	13
Total	17,951	627	6,655
Hispanic	54	30	621
Not Hispanic	18,146	622	6,578

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

The statistics above reflect "persons" assisted for ESG and CDBG.

ESG has 534 persons assisted with a race listed as "other." Total for ESG is 7199. For the HOME program, four additional races were reported throughout our program year.

1 American Indian/Alaskan Native and White

3 Black/African American & White

2 American Indian/Alaskan Native & Black/African American

19 Other Multi Racial

The total for HOME is 652.

CDBG has 171 beneficiaries classified as "other-multi-racial" and 76 beneficiaries classified as "American Indian/Alaskan Native & White."

The total for CDBG is 18,198.

HTF has not yet completed a project in IDIS, so the race and ethnicity numbers are not available at this time.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	CDBG	4,974,491	4,326,550.88
HOME	HOME	3,016,971	3,069,076.51
ESG	ESG	657,162	657,162
Housing Trust Fund	Housing Trust Fund	3,000,000	2,703,833

Table 3 - Resources Made Available

Narrative

Publication of CAPER

The publication was made available for public comment September 7, 2018 through September 21, 2018. The public was notified through DCS's website and a stateside press release to all weekly and daily newspapers.

Resources Made Available to the State

This section of the annual performance report requires a description of the Federal, State, other resources made available to the state to support the activities in the state's Consolidated Plan's Strategic Plan during a designated program year. The program year is July 1, 2017 to June 30, 2018.

This report contains information specifically about the use of the Community Development Block Grant program (CDBG), HOME program, Housing Trust Fund (HTF), and the Emergency Solutions Grants (ESG) program. Separate detailed reports are attached in Part II for the CDBG, HOME, HTF, and ESG programs which are the HUD programs covered in the FY 2017 Annual Action Plan. HTF is a new program, having conditionally committed funding to its first 3 projects in 2016 and 3 more in 2017. Those projects are proceeding on schedule toward closing and, when completed, will provide 92 units for extremely low income households.

In addition to the above programs, funding from a number of other public and private entities and programs were leveraged to make projects become a reality. These other resources include the Department of Energy's Weatherization program, Federal Home Loan Bank, Bremer Foundation, bonds, North Dakota Development Fund, Bank of North Dakota, USDA Rural Development, local banks and development corporations, city and county funds, Small Business Administration and the Economic Development Agency. These funding sources added approximately \$40 million towards the accomplishment of the State's Consolidated Plan goals and objectives.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Disaster Relief Area	0	0	Disaster Relief Area
Statewide	100	100	Funds are available statewide.

Table 4 – Identify the geographic distribution and location of investments

Narrative

The CDBG, HOME, HTF and ESG funds were made available statewide.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

For ESG, Federal funds were matched one for one from each agency funded. Match received was from cash and in-kind donations and other federal and state grants. The State also included match of \$120,000 for the Emergency Solutions Grant program. We continue to fund projects on publicly owned land such as lagoons, lift stations, water and sewer system improvements.

The HOME Program was granted a 50% match reduction for FY 2017 for meeting one of the fiscal distress criteria as determined by HUD. The Program Year 2016 CAPER's excess HOME match carry over amount of \$(329,674) has been reduced by 50% to \$(164,837) and carried over to the Program Year 2017 CAPER's excess HOME match from the prior Federal fiscal year.

HOME match recorded in the Program Year 2017 CAPER was incurred during the prior Federal Fiscal Year 2017 (Oct 1, 2016 - Sept 30, 2017). Match credits consist of replacement and operating reserve deposits, direct costs incurred for supportive services, and the present value of the yield foregone for below market interest rate loans.

The leveraging of other dollars to HOME dollars is a ratio of 5.03.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	(164,837)
2. Match contributed during current Federal fiscal year	2,834,393.37
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	2,669,556.37
4. Match liability for current Federal fiscal year	325,793.66
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	2,343,762.71

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
1438	04/30/2017	550	0	0	0	0	0	550
1453	09/30/2017	602,480	0	0	0	0	0	602,480
1454	09/30/2017	180,208	0	0	0	0	0	180,208
1456	09/30/2017	551,100	0	0	0	0	0	551,100
3571	09/29/2017	1,800	0	0	0	0	0	1,800
3701	09/30/2017	44,529	0	0	0	0	0	44,529
4386	09/30/2017	259,440	0	0	0	0	0	259,440
4620	09/30/2017	2,227	0	0	0	0	0	2,227
5226	09/30/2017	2,143	0	0	0	0	0	2,143
5402	09/30/2017	6,478	0	0	0	0	0	6,478
5582	09/30/2017	382	0	0	0	0	0	382
5897	09/30/2017	7,741	0	0	0	0	0	7,741
6470	09/30/2017	328,995	0	0	0	0	0	328,995
6737	09/30/2017	1,036	0	0	0	0	0	1,036
6750	09/30/2017	1,380	0	0	0	0	0	1,380
6766	09/30/2017	8,955	0	0	0	0	0	8,955
6780	09/30/2017	244,839	0	0	0	0	0	244,839
7136	09/30/2017	1,764	0	0	0	0	0	1,764
7197	09/30/2017	154,935	0	0	0	0	0	154,935
7279	09/30/2017	3,787	0	0	0	0	0	3,787
7827	09/30/2017	63,552	0	0	0	0	0	63,552
7910	09/30/2017	3,024	0	0	0	0	0	3,024
8005	09/30/2017	2,685	0	0	0	0	0	2,685
8298	09/30/2017	2,600	0	0	0	0	0	2,600
8415	09/30/2017	3,455	0	0	0	0	0	3,455
8465	09/30/2017	2,975	0	0	0	0	0	2,975

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
8687	09/30/2017	2,851	0	0	0	0	0	2,851
8723	09/30/2017	706	0	0	0	0	0	706
8744	09/30/2017	296,852	0	0	0	0	0	296,852
8865	09/30/2017	3,523	0	0	0	0	0	3,523
8939	09/30/2017	32,550	0	0	0	0	0	32,550
9057	09/28/2017	7,000	0	0	0	0	0	7,000
9258	05/30/2017	97,005	0	0	0	0	0	97,005
9572	09/30/2017	6,179	0	0	0	0	0	6,179
7740	09/30/2017	1,800	0	0	0	0	0	1,800
7911	09/30/2017	1,000	0	0	0	0	0	1,000
5661	09/30/2017	3,660	0	0	0	0	0	3,660
8029	09/30/2017	1,200	0	0	0	0	0	1,200

Table 6 – Match Contribution for the Federal Fiscal Year

Program Income – Enter the program amounts for the reporting period				
Balance on hand at begin-ning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
96,111.13	42,418.96	140,386.78	2,970.53	(1,856.69)

Table 7 – Program Income

HOME MBE/WBE Report

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period.						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	0	0	0	0	0	0
Number	0	0	0	0	0	0
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	0	0	0			
Number	0	0	0			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		0		0		
Businesses Displaced		0		0		
Nonprofit Organizations Displaced		0		0		
Households Temporarily Relocated, not Displaced		0		0		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	450	341
Number of Non-Homeless households to be provided affordable housing units	500	2,607
Number of Special-Needs households to be provided affordable housing units	0	175
Total	950	3,123

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	450	2,297
Number of households supported through The Production of New Units	21	59
Number of households supported through Rehab of Existing Units	28	123
Number of households supported through Acquisition of Existing Units	80	0
Total	579	2,479

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

In the HOME Program, our primary subrecipient that facilitates Homebuyer assistance, has decided to return HOME funding. Therefore, we anticipate our Homebuyer acquisitions to cease in the years to come. Also, housing rehabilitation activities were affected by the lead-based paint requirements and increased labor costs. It is difficult to find contractors certified in lead to bid on the homeowner rehab projects in our rural communities. The HOME program continues to work with local agencies to address the population experiencing homelessness to provide those households assistance. It can be difficult to locate those families at times, but we continue to work with our partner agencies to find those in need.

ESG Beneficiaries are not recorded in IDIS, therefore PR-23 will not reflect the non-homeless persons that are provided affordable housing through ESG funding, whereas CR-20 does because we reported on our actual beneficiaries (including ESG). If you remove our ESG beneficiaries in CR-20, it will tie to the amount in PR-23.

HTF is a new program, having conditionally committed funding to its first 3 projects in 2016 and 3 more projects in 2017. Those projects are proceeding on schedule toward closing and, when completed, will provide 92 units for extremely low income households.

Discuss how these outcomes will impact future annual action plans.

Our future action plans will reflect the expected changes to the Homebuyer assistance program, and our numbers will decrease significantly. We are still encouraging our partner agencies to rehabilitate single family homes, but in many cases, those agencies have to select homes build after 1978, limiting those homeowners we can assist.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	3,162	442
Low-income	1,845	170
Moderate-income	8	622
Total	5,015	1,234

Table 13 – Number of Households Served

HTF does not have benefit data as no projects have concluded. Therefore, there are no households served to report on.

HOME and ESG provides funding to subrecipients who may prioritize assistance based on worst case needs to low-income renter households who pay more than half of their income for rent, live in seriously substandard housing, which includes homeless people, or have been involuntarily displaced. Agencies who receive program funding have the option of determining which applicants for rental assistance are most at risk and in need of safe and affordable housing in their program policies.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

The State of North Dakota's method of distribution provides funding to engage homeless individuals and families living on the street (Street Outreach); improve the number and quality of emergency shelters for homeless individuals and families (Shelter Renovation); help operate these shelters through eligible activities such as maintenance, rent, repair, security, fuel, equipment, insurance, utilities, food, furnishings, and supplies (Shelter Operations); provide essential services to shelter residents (Essential Services); Rapid rehouse homeless individuals and families (Rapid Re-Housing); and prevent families and individuals from becoming homeless (Homeless Prevention). Communities of 2,500 more population have been targeted since those are the communities experiencing the greatest need to provide services and facilities for the assistance to the homeless and potentially homeless.

Addressing the emergency shelter and transitional housing needs of homeless persons

In 2017, 42% of the counted homeless population was sheltered throughout the state of North Dakota. 22% of the counted homeless population was sheltered through transitional housing.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

North Dakota Department of Corrections and Rehabilitation (DOCR) recently published a new web tool called the "Barriers to Housing Assessment" that case managers/case workers can use to assist clients in identifying opportunities and barriers to stable and affordable housing. The tool consists of about 37 questions with some subcomponents that are used to gather a broad array of information. Based upon the response to the questions, a report is generated which points the case manager and client towards opportunities (things such as service providers, information resources providers and action steps) and barriers (things that will be prohibitive of accessing services or impediment such as having to register as a sex offender, being prohibited from HUD funded housing due to a conviction for drug manufacturing).

The North Dakota Coalition for Homeless People (NDCHP) works with sub-grantees including Salvation Army and Community Action to administer the Supportive Services for Veterans Families program. The program provides eligible Veteran families with outreach, case management, and assistance in obtaining VA and other benefits which may include: health care services, daily living services, personal financial planning, transportation services, fiduciary and payee services, legal services, child care services, and housing counseling. In addition, Veteran families may qualify for assistance with time-limited payments

to third parties for rent, utilities, moving expenses and licensed child care.

Statewide, programs and initiatives undertaken include:

- Department of Human Services (DHS) has implemented an Integrated Dual Disorder Treatment (IDDT) model in three regions and is currently expanding this program to the remaining regions;
- Improved discharge planning (ex. jails, hospitals) to help prevent people from becoming homeless;
- Improved relationships with healthcare providers and correctional institutions to offer greater levels of housing resource information to minimize discharge to the streets; and,
- A Transitional Jobs program was created at Southeast Human Services, in addition to more homeless case management services.

DHS provides case management services to the homeless through the regional Human Service Centers. As part of the intensive case management activities, PATH Coordinators go directly to homeless shelters, and other locations in communities to assist homeless individuals with obtaining services including housing.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Both the North Dakota Homeless Grant (NDHG) and Supportive Services for Veteran Families (SSVF) programs have prevention and rapid rehousing components that provide temporary financial assistance and case management. Additionally, the SSVF program employs a statewide outreach specialist with a focus on tribal areas. Project Service Connect has become an annual event in communities around the state. Homeless/Hunger Awareness Week activities have also been initiated.

NDCHP is working with stakeholders throughout the state to develop the Coordinated Assessment Referral and Evaluation System (CARES) which will provide a uniform assessment and referral system, but will also become a useful tool in assessing housing and service gaps within the homeless response system.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

We were not able to address the needs of any specific public housing agency. The HOME program is actively working with the City of Bismarck and Burleigh County Housing Authority on the rehabilitation of a multi-family public housing facility. We are in the early phases of rehabilitation, so this project can not be counted as completed for reporting purposes at this time. This rehabilitation will result in 20 HOME units for very low to low income households.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

We did not work with any public housing residents to become more involved in management and participate in homeownership.

Actions taken to provide assistance to troubled PHAs

No actions were taken because we're not aware of any troubled PHAs in North Dakota.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

No specific actions were planned for this program year.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

The major obstacle to meeting underserved needs is the small amount of funds the state receives through the CDBG, HOME, HTF, and ESG programs. The needs far exceed the ability of these programs to make any significant impact, which is why the state continues to fund the same type of housing and non-housing activities each year. Housing rehabilitation continues to be a high priority need, but the interest in doing more rehabilitation is severely hampered by the lead-based paint requirements. Costs to investigate and mitigate lead-based paint are very high and the lack of funds means fewer opportunities for rehabilitation. Since there is nothing we can do to change either of these problems, no actions are planned.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

During this program year we continued working with the North Dakota State Health Department and the University of North Dakota to provide training for lead-based paint risk assessors. In addition, we saw more coordination with the Community Action Agencies to increase the amount of lead-based paint testing activities.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

We encourage the creation of jobs with CDBG funds, encourage referrals of low-income persons and families to the Self-Reliance program (although no data can be obtained showing referrals because of confidentiality), and, as reflected in our affordable housing goals, to target HOME and CDBG funds to low-income households, and HTF funds to extremely low-income households.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

No specific actions were planned for this program year.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

The DCS and ND Housing Finance Agency (NDHFA) continued to work closely with one another to cosponsor and develop the state's annual housing conference. This involves other state, nonprofit, and Federal agencies as well. The NDHFA continues to effectively administer HOME funds provided from the DCS for its DECA program. NDHFA also effectively administers the HTF program for the State.

The DCS also continued to work closely with Community Action Agencies, entitlement communities, CHDO's and Regional Planning Councils in the implementation and administration of the HOME and CDBG programs. The ESG program worked closely with cities and emergency shelters to provide services to the homeless. The program also worked closely with the Homeless Coalition on the continuum of care plan and the development of the Homeless Management Information System.

The DCS continued to be involved with the Bank of North Dakota, the Dakota Certified Development Corporation, the North Dakota Department of Economic Development and Finance, the U.S. Department of Agriculture, and the U.S. Small Business Administration in a "One Stop Capital Center." This collaboration assists businesses in identifying and accessing funds from over 20 financing programs.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

See attachment "CR-35 Identify actions taken to overcome the effects of any impediments identified" found in CR-00.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements.

Monitoring will be the responsibility of the staff assigned to the CDBG, HOME, HTF, and ESG programs and the organizations that partner with the Division of Community Services to implement these programs. This will primarily be accomplished through quarterly and final reports on each funded project. To assure long-term compliance with program requirements, staff will do on-site monitoring of files and each completed project using a systems review of the implementing organizations. Staff will also perform desk-top monitoring using drawdown requests, environmental review notices, Section 504 Self-evaluations, and the Integrated Disbursement Information System (IDIS).

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

See attached Citizen Participation Plan.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

No changes.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No.

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

N/a.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations.

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

For the HOME Program, there were a total of 79 on-site inspections due to be completed during this reporting period. HOME Program staff was actually able to conduct compliance monitoring for 123 assisted units. Due to staff transitions, there are a number of compliance reviews completed that were not actually due this reporting period. HOME Program staff determined it was advantageous to visit these properties considering they were located within the vicinity of the other properties scheduled for reviews at that time. The monitoring visits completed resulted in no findings or major concerns. The agencies and property managers have been proactive in serving their communities and providing safe and decent housing for HOME beneficiaries.

Please see attachment "CR-50 HOME Monitoring Table" located in Section "CR-00 Administration" for a complete list of the units monitored and the results for this reporting period.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

Every HOME-assisted project is required to include the affirmative marketing logo in any advertisements that are posted for available units. During the jurisdiction's monitoring visits, the PJ reviews all advertising and marketing activities and actual copies of advertisements to ensure the affirmative marketing logo was used correctly, in an appropriate size and location. In addition, the affirmative marketing logo is on large financing signs posted in front of properties for new construction, rental rehabilitations and acquisitions projects. After the affirmative marketing logo is used, all projects are monitored to ensure compliance with all affirmative marketing rules and regulations.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

In Program Year 2017, seven activities used program income as a source of funding, for a total of \$140,386.78. The characteristics of those projects are as follows:

Type of Project: TBRA – 1 activity, 25 households; Homeowner Rehab – 4 activities, 4 households; Rental - 2 activities, 35 households.

One of the rental activities, consisting of 28 households, is currently under rehab construction, so those 28 tenant characteristics have not yet been reported, and therefore the numbers below do not include those 28 households.

Race: White-30, American Indian- 1, Black/African American- 4, Asian-1

Hispanic: Yes- 2, No- 23

Area Median Income: 0-30% - 29, 31-50% - 6, 60-80% - 1

Type of household: Elderly - 12, Single Parent - 4, Two Parent - 1, Single/Non-elderly – 19

**Describe other actions taken to foster and maintain affordable housing. 91.220(k)
(STATES ONLY: Including the coordination of LIHTC with the development of
affordable housing). 91.320(j)**

The State HOME Program encourages our non-profit developers and CHDOs to use all sources of GAP financing to complete projects. Our state HIF program, along with LIHTC, CDBG, HTF and other sources such as USDA can all partner together in projects to make sure affordable housing is created in our state and developed in areas where there is a need. Together, all of these programs monitor affordable housing units to make sure they are maintained and cared for as they should be and continue to provide tenants with safe, secure and affordable housing.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	NORTH DAKOTA
Organizational DUNS Number	802741843
EIN/TIN Number	450309764
Identify the Field Office	DENVER
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	

ESG Contact Name

Prefix	Mrs
First Name	Shantel
Middle Name	
Last Name	Dewald
Suffix	
Title	CDBG-ESG Program Administrator

ESG Contact Address

Street Address 1	1600 East Century Avenue
Street Address 2	
City	Bismarck
State	ND
ZIP Code	58502
Phone Number	(701) 328-2676
Extension	
Fax Number	(701) 328-5320
Email Address	shdewald@nd.gov

ESG Secondary Contact

Prefix	
First Name	
Last Name	
Suffix	
Title	
Phone Number	
Extension	
Email Address	

2. Reporting Period—All Recipients Complete

Program Year Start Date 07/01/2017
Program Year End Date 06/30/2018

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: RUTH MEIERS HOSPITALITY HOUSE

City: Bismarck

State: ND

Zip Code: 58502, 2092

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: \$38,020

Subrecipient or Contractor Name: SOUTHEASTERN NORTH DAKOTA COMMUNITY ACTION

City: Fargo

State: ND

Zip Code: 58108, 2683

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: \$40,000

Subrecipient or Contractor Name: COMMUNITY ACTION PROG. REG VII

City: Bismarck

State: ND

Zip Code: 58504, 6728

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: \$20,000

Subrecipient or Contractor Name: RED RIVER COMMUNITY ACTION (GRAND FORKS CAA)

City: GRAND FORKS

State: ND

Zip Code: 58203,

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: \$48,550

Subrecipient or Contractor Name: ABUSED ADULT RESOURCE CENTER
City: Bismarck
State: ND
Zip Code: 58502, 5003
DUNS Number: 180993446
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$36,675

Subrecipient or Contractor Name: COMMUNITY VIOLENCE INTERVENTION
City: Grand Forks
State: ND
Zip Code: 58201, 4737
DUNS Number: 164197675
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$31,637

Subrecipient or Contractor Name: DOMESTIC VIOLENCE CRISIS CENTER, INC
City: Minot
State: ND
Zip Code: 58702, 0881
DUNS Number: 957651409
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$23,425

Subrecipient or Contractor Name: FAMILY CRISIS SHELTER, INC
City: Williston
State: ND
Zip Code: 58802, 1893
DUNS Number: 165913914
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$18,000

Subrecipient or Contractor Name: GLADYS RAY SHELTER - CITY OF FARGO
City: Fargo
State: ND
Zip Code: 58103, 1505
DUNS Number: 070265871
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Unit of Government
ESG Subgrant or Contract Award Amount: \$50,011

Subrecipient or Contractor Name: NEW LIFE CENTER
City: Fargo
State: ND
Zip Code: 58107, 1067
DUNS Number: 135269249
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$33,000

Subrecipient or Contractor Name: WELCOME HOUSE
City: Bismarck
State: ND
Zip Code: 58501, 5194
DUNS Number: 858951010
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$17,000

Subrecipient or Contractor Name: WOMEN'S ACTION AND RESOURCE CENTER
City: Beulah
State: ND
Zip Code: 58523, 0940
DUNS Number: 005625231
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$10,675

Subrecipient or Contractor Name: YOUTHWORKS
City: Bismarck
State: ND
Zip Code: 58501, 3755
DUNS Number: 145766671
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$48,500

Subrecipient or Contractor Name: YWCA CASS CLAY
City: Fargo
State: ND
Zip Code: 58102, 3070
DUNS Number: 842058851
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$32,000

Subrecipient or Contractor Name: DOMESTIC VIOLENCE & RAPE CRISIS CENTER, INC
City: Dickinson
State: ND
Zip Code: 58601, 3903
DUNS Number: 181011248
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$15,000

Subrecipient or Contractor Name: ST. JOSEPH'S SOCIAL CARE
City: Grand Forks
State: ND
Zip Code: 58201, 4816
DUNS Number: 969745843
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$34,500

Subrecipient or Contractor Name: SAFE ALTERNATIVES FOR ABUSED FAMILIES
City: Devils Lake
State: ND
Zip Code: 58301, 0646
DUNS Number: 038941410
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$20,143

Subrecipient or Contractor Name: SHELTER FOR HOMELESS, INC
City: Grand Forks
State: ND
Zip Code: 58201, 4624
DUNS Number: 181043605
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$33,750

Subrecipient or Contractor Name: YWCA MINOT
City: Minot
State: ND
Zip Code: 58701, 3934
DUNS Number: 967416947
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$13,000

Subrecipient or Contractor Name: SALVATION ARMY - BISMARCK
City: Bismarck
State: ND
Zip Code: 58504, 5465
DUNS Number: 180995813
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$22,075

Subrecipient or Contractor Name: SALVATION ARMY - JAMESTOWN
City: Jamestown
State: ND
Zip Code: 58401, 3301
DUNS Number: 126341366
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$45,000

Subrecipient or Contractor Name: SALVATION ARMY - GRAND FORKS
City: Grand Forks
State: ND
Zip Code: 58203, 3465
DUNS Number: 126340426
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$8,000

Subrecipient or Contractor Name: Aid, Inc.
City: Mandan
State: ND
Zip Code: 58554, 3144
DUNS Number: 606110484
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$15,000

Subrecipient or Contractor Name: Fraser, Ltd.
City: Fargo
State: ND
Zip Code: 58103, 6032
DUNS Number: 086572773
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$21,425

Subrecipient or Contractor Name: Minot Area Men's Winter Refuge
City: Minot
State: ND
Zip Code: 58701, 5595
DUNS Number: 079791578
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$15,000

Subrecipient or Contractor Name: Salvation Army - Williston
City: Williston
State: ND
Zip Code: 58802, 0420
DUNS Number: 126345276
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$9,988

Subrecipient or Contractor Name: Presentation Partners in Housing
City: Fargo
State: ND
Zip Code: 58103, 6031
DUNS Number: 079818603
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$27,500

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	245
Number of New Units - Conversion	5
Total Number of bed-nights available	133,016
Total Number of bed-nights provided	136,353
Capacity Utilization	102.51%

Table 24 – Shelter Capacity

Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

Renewed statewide Shelter Plus Care grant and will continue to support applications for these projects in 2017 and 2018.

Continued to set-aside 40% of the Emergency Solutions Grants program funds for homeless prevention and rapid re-housing activities. As of June 30, 2018, 100% of the \$727,875.00 were used for shelter operations, homeless prevention, rapid re-housing and HMIS activities.

Emergency Solutions Grant funds were awarded to 27 agencies for FY2017.

Continue to participate in the Governor’s Interagency Council on Homelessness.

In the 2017-2019 biennium, the State allocated \$300,000 to the Department of Commerce for Homeless Assistance. We developed a grant very similar to the Emergency Solutions Grant with some exceptions. We added the flexibility of going above the Fair Market Rents due to the oil boom. Also added was the income limits up to 50% instead of 30%. With the addition of these funds, the Emergency Solutions Grant funding was used more for operations, although keeping within the 60% threshold for the operations component.

Our state takes the stance of Housing First and will continue this philosophy.

Continuum of Care

Continue to participate and provide technical assistance to the Statewide Continuum of Care process.

The Statewide Continuum continues to make permanent supportive housing its number one priority. The Shelter Plus Care applications continue to rank high due to their supportive housing to persons and families with supportive housing disabilities as well as persons with HIV/AIDS.

The Department of Commerce, Division of Community Services continues to use a portion of the Emergency Solutions Grant funds for homeless prevention activities. These funds have been used to assist very low-income individuals and families at risk of becoming homeless. The homeless prevention has included those that are being released from institutions, health care facilities, and correctional institutions.

North Dakota's statewide Continuum of Care is in hold harmless need status and has received 100% of its renewals and supportive housing bonus money each year since 2008.

CR-75 – Expenditures

ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Expenditures for Rental Assistance	97,135	90,162	137,814
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	21,773	18,497	33,118
Expenditures for Housing Relocation & Stabilization Services - Services	7,258	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	126,166	108,659	170,932

Table 25 – ESG Expenditures for Homelessness Prevention

ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Expenditures for Rental Assistance	28,084	45,054	41,978
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	32,176	45,837	64,287
Expenditures for Housing Relocation & Stabilization Services - Services	10,725	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	70,985	90,891	106,265

Table 26 – ESG Expenditures for Rapid Re-Housing

ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Essential Services	60,682	63,993	145,199
Operations	261,760	240,791	301,404
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	322,442	304,784	446,603

Table 27 – ESG Expenditures for Emergency Shelter

Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Street Outreach	0	4,500	0
HMIS	12,810	20,075	4,075
Administration	33,438	33,155	49,287

Table 28 - Other Grant Expenditures

Total ESG Grant Funds

Total ESG Funds Expended	2015	2016	2017
1,905,067	565,841	562,064	777,162

Table 29 - Total ESG Funds Expended

Match Source

	2015	2016	2017
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	120,000	120,000	120,000
Local Government	0	0	0
Private Funds	412,403	408,909	607,875
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	532,403	528,909	727,875

Table 30 - Other Funds Expended on Eligible ESG Activities

Total

Total Amount of Funds Expended on ESG Activities	2015	2016	2017
3,694,254	1,098,244	1,090,973	1,505,037

Table 31 - Total Amount of Funds Expended on ESG Activities

Attachment - CR-35 Actions taken to overcome the effects of any impediments identified

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

The DCS has compiled a list of Impediments to Fair Housing Choice and Suggested Actions that are included in the 2015 State of North Dakota Analysis of Impediments to Fair Housing Choice. Please see the impediments below referencing the actions, objections and results of this analysis.

The State of North Dakota, specifically the North Dakota Department of Labor and Human Rights, will continue to insure the enforcement of the fair housing law and provide fair housing education and outreach in cities throughout the state by utilizing promotional items such as fair housing and human rights brochures. The items provide specific information on what fair housing is, the law, and how the Department of Labor and Human Rights handles specific housing complaints. They also provide an overview of the Department of Labor and Human Rights' relationship with HUD and how the state's laws are substantially equivalent to the Federal Fair Housing Law.

In addition, the North Dakota Department of Commerce has partnered with High Plains Fair Housing Center. The Center assists people who believe they have experienced discrimination while attempting to rent or purchase housing, provides community education to promote fair housing, and conducts preliminary investigations of potential housing discrimination. High Plains conducts testing throughout the state to seek out instances of discrimination. High Plains targets training and follow up testing to ensure that there are no further instances of discrimination. Moreover, High Plains reviews policies and practices in the state of North Dakota to identify instances of discrimination particularly in the area of disparate impact-such as translation barriers.

High Plains Fair Housing Center (HPFHC) conducted training events throughout the state to educate professionals, consumers, general audiences, and social service agencies on discrimination in the housing industry. Some of these educational activities included the following topics: housing discrimination based on race, color, national origin, sex, legal prohibitions on discrimination against families with children or persons with disabilities, receipt of public assistance discrimination, fair lending practices, and tester training. Between the dates of July 1, 2017 – June 30, 2018, HPFHC conducted 37 events with 974 persons in attendance.

High Plains Fair Housing Center (HPFHC) also conducted follow up testing throughout the state to identify housing discrimination. In addition to rental testing and design & construction testing, HPFHC now conducts lending and sales testing. Testing between July 1, 2017 and June 30th, 2018 took place in 9 cities across North Dakota. Classes that were tested included: disability, race, national origin, sex, familial status, receipt of public assistance, and the design and construction of the units.

The DCS has also partnered with the Native American Development Corporation (NADC) to help overcome identified financial barriers low to moderate income Native Americans across North Dakota with a primary focus on the Bismarck metropolitan area thus far.

These financial services included financial counseling. In FY2017, NADC conducted 15 financial counseling sessions primarily to clients who were referred to us by another program called, “Free Through Recovery” (FTR) that we are contracted with. FTR provides peer support services to adult inmates awaiting trial or being released from prison and/or jails and the homeless whom are in an economic transition. Our prior workshops in past years have indicated tribal colleges and tribal program service providers around the state had wanted to attend via webinar. Future work for FY2017 is currently in progress. NADC is hosting a Credit Score Counseling Workshop on October 19, 2018 through a webinar platform utilizing a “zoom” application. The upcoming workshop will be launched through a series of four modules over the course of a month. NADC projects the attendance to be at full capacity at 40 participants.

NADC received a federal grant award from the U.S. Department of Treasury’s Native Community Development Financial Institution (Native CDFI) Fund that allows NADC to hire a full-time Loan Officer.

Loan capital is anticipated to be received by September 30, 2018 from a national Native CDFI. This allows NADC to launch its two loan products which are: consumer loans and microenterprise loans. Loan capital is anticipated to be received by USDA this upcoming year. NADC’s servicing capacity has become ready to provide loans and financial counseling. The ND Department of Commerce funds to obtain financial education training has been immensely helpful in being able to design and pursue the trainings of its two new upcoming hires (i.e., Loan Officer and Financial Educator). Clients must be eligible for loans through responsible underwriting criteria designed to help Native American clients improve their credit score and obtain economic opportunity. These loans require clients to obtain financial counseling. NADC was solicited by the Northwest Area Foundation (NWAFF) to submit a proposal in the summer of 2018. NWAFF has supported NADC’s proposal that will fund a full-time Financial Educator anticipated to be hired by October 30, 2018. Lastly, NADC received an Emergency Solutions Grant (ESG) award for \$14,000 from the ND Department of Commerce launched on July 2, 2018. This allows NADC to provide rapid rehousing and homeless prevention to Native Americans. The ESG creates another pool of clients for NADC to be required to obtain financial education. NADC continues to develop its organizational capacity to provide more financial and housing services. Housing and finance are a primary focus for NADC. NADC’s expected CDFI certification has been changed to 2020. Lastly, NADC had not attended a HUD Housing Counseling Training Certification to-date due to lack of staff capacity to expand its service area. However, NADC anticipates becoming certified in housing counseling this year as a sub-recipient of North Dakota State Housing Finance Agency’s HUD Housing Counseling Grant.

IMPEDIMENTS TO FAIR HOUSING CHOICE AND SUGGESTED ACTIONS

Private Sector Impediments, Suggested Actions, and Measurable Objectives

Impediment 1: Discrimination based on disability, familial status, and race. This impediment was identified through review of complaints lodged with HUD and the North Dakota Department of Labor and Human Rights (DOLHR), and in consultation with the High Plains Fair Housing Center. Discrimination based on familial status was second only to discrimination disability-based discrimination as the most common allegation in complaints lodged with HUD, and was the third most common allegation in complaints lodged with the DOLHR. Discrimination based on race was the third most commonly cited motivation for discrimination among complaints lodged with HUD, and was second most common among DOLHR complaints. In addition, a representative of the High Plains Fair Housing Center noted that the complaints that the organizations receive reveal “continuing evidence of discrimination, particularly against persons with disabilities, Native Americans, New Americans, and families with children.”

- *Action 1.1:* Partner with the High Plains Fair Housing Center (HPFHC) and other non-profit organizations to conduct outreach and education to professionals in the housing industry on the subject of disability, familial status, and legal prohibitions on discrimination against families with children.
 - *Measurable Objective 1.1:* The number of outreach and education activities conducted by the HPFHC and other organizations.
 - *Result:* The HPFHC conducted 37 events in FY2017 with 974 total persons in attendance in total. Of these events, 10 were specifically directed towards professionals in the housing industry with 346 professionals in attendance. The Native American Development Council (NADC) conducted 15 financial counseling sessions to 15 clients.
- *Action 1.2:* Partner with the DOLHR to conduct education activities to professionals in the housing industry on the subject of disability, familial status, and legal prohibitions on discrimination against families with children.
 - *Measurable Objective 1.2:* The number of education activities conducted.
 - *Result 1.2:* 5 education activities were conducted to professionals in the housing industry on the subject of disability, familial status, and legal prohibitions on discrimination against families with children in FY2017.
- *Action 1.3:* Partner with the HPFHC and other non-profit organizations to conduct outreach and education to professionals in the housing industry on the subject of disability, familial status, and racial forms of discrimination.
 - *Measurable Objective 1.3:* The number of outreach and education activities conducted.
 - *Result 1.3:* The HPFHC conducted 37 events in FY2017 with 974 total persons in attendance in total. Of these events, 10 were specifically directed towards professionals in the housing industry with 346 professionals in attendance. Native American Development Council (NADC) conducted 15 financial counseling sessions to 15 clients.
- *Action 1.4:* Partner with the DOLHR to conduct education activities to professionals in the housing industry on the subject of disability, familial status, and racial forms of discrimination.

- *Measurable Objective 1.4:* The number of education activities conducted
- *Result 1.4:* 5 education activities were conducted to professionals in the housing industry on the subject of disability, familial status, and racial forms of discrimination in FY2017.

Impediment 2: Failure to make reasonable accommodation. This impediment was identified through review of complaints lodged with HUD and the North Dakota Department of Labor and Human Rights (DOLHR), as well as the results of the 2015 North Dakota Fair Housing Survey, and in consultation with the High Plains Fair Housing Center. Disability-based complaints were the most common complaints that HUD received from residents of the state’s non-entitlement areas from 2004 through 2014, and accounted for more than half of all complaints lodged with the Department of Labor and Human Rights. Failure to make reasonable accommodation, a discriminatory issue that uniquely impacts residents with disabilities, was the second most commonly alleged discriminatory action in HUD and DOLHR complaints.

In addition, though respondents to the 2015 Fair Housing Survey were largely unaware of questionable practices or barriers to fair housing choice in the private or public sectors, over one in ten respondents were aware of issues in the housing construction or accessible housing design fields, and those who provided additional commentary on this question maintained that neglect of ADA requirements in new construction was relatively common. Similarly, several of those who provided commentary on challenges in the public sector noted a lack of tax incentives to promote accessible development, as well as a failure on the part of construction companies to incorporate such elements in the design and construction of new units.

Finally, a representative of the High Plains Fair Housing Center noted that legal requirements pertaining to design and construction are not well understood, and that “requests for reasonable accommodation are frequently resisted.”

- *Action 2.1:* Partner with the HPFHC to conduct tests on selected newly constructed housing units and apartment complexes.
 - *Measurable Objective 2.1:* The number of tests conducted and the results of those tests conducted.
 - *Result 2.1:* HPFHC conducted tests at 5 locations in FY2017. Some of these buildings were less than a year of occupancy, but all of them were less than ten years old. There were some small design and construction issues uncovered but none that were egregious and needed a complaint filed.
- *Action 2.2:* Partner with the HPFHC, other non-profit organizations, and local ADA coordinators to conduct outreach and education to professionals in the housing construction industry on the subject of accessibility and reasonable accommodation.
 - *Measurable Objective 2.2:* The number of outreach and education activities conducted by these entities.
 - *Result 2.2:* The 1988 Amendments to the Federal Fair Housing Act added the protection for disability including the provisions of Reasonable Accommodation and Reasonable Modification as well as design and construction elements. Every HPFHC education and outreach activity includes material on all of those topics. Additionally, HPFHC sent 6 letters to developers around the state advising them of the Design and Construction requirements under the federal fair housing act during FY 2017.

- *Action 2.3:* Partner with the DOLHR to conduct education activities to professionals in the housing construction industry on the subject of accessibility and reasonable accommodation.
 - *Measurable Objective 2.3:* The number of education activities conducted by these entities.
 - *Result 2.3:* 5 education activities were conducted to professionals in the housing construction industry on the subject of accessibility and reasonable accommodation in FY2017.

Impediment 3: Discriminatory advertising. This impediment was identified through review of complaint data filed with the North Dakota Department of Labor and Human Rights. According to those data, complaints citing discriminatory advertising were the fourth most common among all complaints and complaints considered to have cause. More than a third of complaints that were settled or resolved, or that ended in a charge of discrimination, cited discriminatory advertising as among the discriminatory actions that housing providers had taken against them.

- *Action 3.1:* Partner with the HPFHC to conduct periodic reviews of rental housing advertisements in a variety of media (i.e., Craigslist, newspapers, etc.). Refer any discriminatory advertisements to the Department of Labor and Human Rights for investigation.
 - *Measurable Objective 3.1:* The number of advertisements reviewed, and discriminatory advertisements identified and referred reported by the HPFHC.
 - *Result 3.1:* HPFHC does monthly reviews of rental advertisements in Grand Forks, Fargo, Bismarck, Williston, and Minot. HPFHC reviews listings in local newspapers, on Facebook, and on Craigslist.
- *Action 3.2:* Initiate or enhance public outreach, through partnership with the High Plains Fair Housing Center and through the state's online/media presence, to identify examples of discriminatory advertising and encourage state residents to report such advertising when they see it.
 - *Measurable Objective 3.2:* Number of outreach and education activities taken, the number of reported instances of discriminatory advertising reported by the HPFHC.
 - *Result 3.2:* While none of the trainings were specifically about advertising, as with the other topics HPFHC covers illegal discriminatory advertising in every training and workshop. No complaints were recorded or filed based on discriminatory advertising.

Impediment 4: American Indian and Hispanic home loan applicants tend to have higher rates of denials than white and non-Hispanic applicants. This impediment was identified through review of data gathered under the Home Mortgage Disclosure Act (HMDA). According to those data, 31.8 percent of home loan applications submitted by American Indian applicants were denied over the ten-year period between 2004 and 2013, inclusive. By comparison, only 13 percent of applications from white residents were turned down during that same period. Similarly, the denial rate for Hispanic applicants was 22.9 percent, compared to 13 percent for non-Hispanic applicants.

- *Action 4.1:* Convene a committee or panel; in coordination with High Plains Fair Housing and the DOLHR, and seeking participation from professionals in the home lending industry; with the goal of identifying factors that contribute to differential denial rates to American Indian and Hispanic applicants.

- *Action 4.1.1:* Request recommendations on how to mitigate the factors contributing to higher denials rates for American Indian and Hispanic residents.
 - *Measurable Objective 4.1:* The establishment of the committee, the list of factors identified, and the recommendations developed.
 - *Result 4.1:* The DCS coordinates with 8 regional supportive housing development collaboratives across North Dakota, including HPFHC and the DOLHR. The group meets once a month to discuss a variety of topics and find solutions to affordable housing issues in ND. Education and training events are also created within the group for both landlords and tenants on various housing, finance, and discrimination topics.

Landlord compliance training is offered annually by organizations within the group. Topics discussed include fair housing and discrimination, and working with school families.

The group recently created a Guide to Renting Affordable Housing that includes information on income qualifying for affordable housing. This information can help applicants understand the process and requirements when submitting home loan applications. More information can be found at <https://www.ndhfa.org/Publications/HomeSchooled.html>.

- *Action 4.2:* Working in coordination with accredited local and statewide for-profit and non-profit organizations and government agencies, enhance credit counseling and education to prospective home buyers, focusing on strategies to build credit for home purchases, in partnership with local lenders and civic institutions.
 - *Measurable Objective 4.2.1:* The number of credit counseling and education activities conducted.
 - *Result 4.2.1:* The Nation American Development Council (NADC) conducted 15 financial counseling sessions to 15 clients. A “Credit Score” Workshop through a series of four modules over a course of one month is scheduled to be launched on October 19, 2018.
 - *Measurable Objective 4.2.2:* The number of agencies and organizations contacted.
 - *Result 4.2.2:* 48 agencies/organizations were contacted.

Impediment 5: Discrimination against public assistance income. In spite of the fact that discrimination based on the receipt of public assistance is illegal under state law, complaint data from the DOLHR indicate that nearly twelve percent of those who filed a complaint with the agency believed that they had suffered discrimination on that basis.

- *Action 5.1:* Contract with the HPFHC to conduct outreach and education to housing providers on the subject of public assistance, noting that discrimination based on use of public assistance is illegal under state law.
 - *Measurable Objective 5.1:* Number of outreach and education activities conducted.
 - *Result 5.1:* All of HPFHC activities include discussion of public assistance discrimination.
- *Action 5.2:* Coordinate with the DOLHR to conduct education activities to housing providers on the subject of public assistance, noting that discrimination based on use of public assistance is illegal under state law.
 - *Measurable Objective 5.2:* Number of education activities conducted.

- *Result 5.2:* 5 education activities were conducted in FY2017 to housing providers on the subject of public assistance, noting that discrimination based on use of public assistance is illegal under state law.
- *Action 5.3:* Contact the state attorney general and request that future materials and publications concerning landlord-tenant rights and responsibilities include an explanation of public assistance discrimination.
 - *Measurable Objective 5.3:* Record of contact with the state attorney general, and his office' response.
 - *Result 5.3:* The ND Apartment Association publishes a landlord-tenant's rights booklet. The Attorney Generals' office has L/T rights information on their website.

Impediment 6: Lack of understanding of fair housing law and policy. This impediment was identified through review of responses to the 2015 North Dakota Fair Housing Survey and the discussions with the Public Housing Agency and Non-Entitlement Grantee Outreach Committee. Between a quarter and half of respondents to survey questions concerning impediments to fair housing choice in the private and public sectors responded to each question with “don't know”, which may suggest a widespread lack of confidence in their own ability to identify the kinds of policies and practices that count as discriminatory. In addition, a fifth of respondents stated that they were “not familiar” with fair housing laws, and several survey respondents maintained that there was a need for more education on the subject of fair housing. This opinion was shared by participants in the February 20th meeting of the Public Housing Agency and Non-Entitlement Grantee Outreach Committee Meeting, who maintained that enhanced outreach and education should form a major part of the actions and objectives adopted to promote fair housing choice in the state.

- *Action 6.1:* Contract with the HPFHC to conduct outreach and education to housing providers and property managers on the subject of affirmatively furthering fair housing, and what the law requires.
 - *Measurable Objective 6.1:* The number of outreach and education sessions offered and the number of attendants and participants.
 - *Result 6.1:* Excluding 3 lending trainings with 49 attendees, The HPFHC conducted 7 outreach and education events in FY2017 for housing providers and property managers on the subject of affirmatively furthering fair housing, and what the law requires. In total, there were 297 persons in attendance at these 7 events.
- *Action 6.2:* Establish yearly advertising and outreach activities, to take place during Fair Housing month (April), in partnership with High Plains Fair Housing Center and other non-profit organizations, the DOLHR, regional councils, homeless providers, and CDBG grantees. Such activities could include panel discussions, fair housing presentations, web-based advertising (i.e., state and local jurisdiction websites, Facebook, etc.).
 - *Measurable Objective 6.2:* Number of advertising and outreach activities established, number of interagency and public/private partnerships established, and the record of materials prepared for discussions and meetings, and the number of participants in such discussions and meetings.

- *Result 6.2:* The HPFHC has established an opinion article sent across the state to local newspapers and 4 public film and panel discussion outreach activities during Fair Housing Month that was attended by 204 people total. HPFHC worked with the city of Fargo, Grand Forks, and the Governor’s Office for a proclamation of April 2018 as Fair Housing Month. DOLHR established approximately 4 advertising and outreach activities during Fair Housing Month.

Public Sector Impediments, Suggested Actions, and Measurable Objectives

Impediment 1: Apparent shortage of accessible and visitable housing in rural North Dakota. This impediment was identified through review of responses to the 2015 Fair Housing Survey.

- *Action 1.1:* Partner with Regional Councils and Community Action Agencies to encourage communities to apply for homeowner and renter rehabilitation funding to modify existing dwellings with the goal of expanding the supply of accessible and visitable housing in rural North Dakota.
 - *Measurable Objective 1.1:* The number of communities who are contacted and encouraged to apply for rehabilitation funding and the number of communities who apply for such funding.
 - *Result 1.1:* The DCS reaches out to 8 Regional Councils, 8 Community Action Agencies, 2 Holding Authorities, 2 CHDOs, other nonprofits organizations to encourage applications for assistance.
- *Action 1.2:* Partner with Regional Councils and Community Action Agencies to encourage communities to apply new construction funding with the goal of expanding the supply of accessible and visitable housing in rural North Dakota.
 - *Measurable Objective 1.2:* The number of communities who are contacted and encouraged to apply for new construction funding and the number of communities who apply for such funding.
 - *Result 1.2:* The DCS reaches out to 8 Regional Councils, 8 Community Action Agencies, 2 Holding Authorities, 2 CHDOs, other nonprofits organizations to encourage applications for assistance.

Impediment 2: Lack of understanding of fair housing law and duty to affirmatively further fair housing. This impediment was identified through review of responses to the 2015 North Dakota Fair Housing Survey and the discussions with the Public Housing Agency and Non-Entitlement Grantee Outreach Committee. As noted in the discussion for Private Sector Impediment 5, a large percentage of respondents answered each question with “don’t know”, and a fifth of respondents stated that they were “not familiar” with fair housing laws. Several survey respondents maintained that there was a need for more education on the subject of fair housing, an assessment that was shared by participants in the February 20th meeting of the Public Housing Agency and Non-Entitlement Grantee Outreach Committee Meeting, who maintained that enhanced outreach and education should form a major part of the actions and objectives adopted to promote fair housing choice in the state.

- *Action 2.1:* Partner with the DOLHR to conduct education activities for local jurisdictions and Regional Councils on behalf of local jurisdictions seeking CDBG grant funding concerning state and federal fair housing law and the certification to affirmatively further fair housing.
 - *Measurable Objective 2.1:* The number of education sessions offered by the DOLHR.

- *Result 2.1:* The DOLHR conducted 5 education sessions in FY2017. DOLHR offers training to everyone interested. The 5 education sessions included all members of the public so there is not a breakdown of those delivered specifically to local jurisdictions or Regional Councils.
- *Action 2.2:* Partner with the HPFHC to conduct outreach and education to local jurisdictions and Regional Councils on behalf of local jurisdictions seeking CDBG grant funding concerning state and federal fair housing law and the certification to affirmatively further fair housing.
 - *Measurable Objective 2.2:* The number of outreach and education sessions offered by the HPFHC.
 - *Result 2.2:* HPFHC has a solid relationship with Fargo, Bismarck and Grand Forks. They regularly work together to hold seminars on fair housing and place announcements on their Public Access Channels and exchange information. HPFHC assisted each jurisdiction with their desk audit.
- *Action 2.3:* Establish yearly advertising and outreach activities, to take place during Fair Housing month (April). Such activities could include panel discussions, fair housing presentations, and web-based advertising (i.e., state and local jurisdiction websites, Facebook, etc.)
 - *Measurable Objective 2.3:* Number of advertising and outreach activities established and the record of materials prepared for discussions and meetings.
 - *Result 2.3:* DOLHR, HPFHC, and other non-profit organizations, establish various advertising and outreach activities taking place during Fair Housing Month. See responses to Result 6.2 above.

Attachment - CR-50 HOME Monitoring Table

Project Name	IDIS #	City (property)	Total HOME Units to Monitor This Period	Actual Number of HOME Units Monitored This Period	Date Monitoring Completed	Monitoring Results
HomeField I	9348	Fargo	2	7	8/28/2017	Initial on-site visit of new construction conducted within 12-months of project completion. File monitoring will be conducted January 2019 for rental provisions and tenant eligibility.
TBRA	9466	Bismarck	7	7	12/11-13/2017	In compliance with security deposit and monthly rental assistance provisions, tenant eligibility, lease provisions, and HQS inspection.
TBRA	9415	Bismarck	4	4	12/11-13/2017	In compliance with security deposit and monthly rental assistance provisions, tenant eligibility, lease provisions, and HQS inspection.
TBRA	9448	Bismarck	1	1	12/11-13/2017	In compliance with security deposit and monthly rental assistance provisions, tenant eligibility, lease provisions, and HQS inspection.
TBRA	9449	Bismarck	1	1	12/11-13/2017	In compliance with security deposit and monthly rental assistance provisions, tenant eligibility, lease provisions, and HQS inspection.
TBRA	9471	Bismarck	1	1	12/11-13/2017	In compliance with security deposit and monthly rental assistance provisions, tenant eligibility, lease provisions, and HQS inspection.
TBRA	9472	Bismarck	1	1	12/11-13/2017	In compliance with security deposit and monthly rental assistance provisions, tenant eligibility, lease provisions, and HQS inspection.
TBRA	9497	Bismarck	1	1	12/11-13/2017	In compliance with security deposit and monthly rental assistance provisions, tenant eligibility, lease provisions, and HQS inspection.
Homeowner Rehabilitation	9303	Bismarck	1	1	12/11-13/2017	In compliance with provisions of homeowner rehabilitation program, homeowner eligibility, and rehabilitation standards.
Homeowner Rehabilitation	9470	Bismarck	1	1	12/11-13/2017	In compliance with provisions of homeowner rehabilitation program, homeowner eligibility, and rehabilitation standards.
23rd Street SRO	4386	Bismarck	2	2	12/18/2018	In compliance with HQS inspection. File monitoring will be conducted January 2019 for rental provisions and tenant eligibility. Monitoring of this property was delayed due to transition of program staff.

919 N 5th Street	7197	Bismarck	2	2	12/18/2018	In compliance with HQS inspection. File monitoring will be conducted January 2019 for rental provisions and tenant eligibility. Monitoring of this property was delayed due to transition of program staff.
Bonnie's Apartments	7961	Bismarck	3	3	12/17/2018	In compliance with HQS inspection. File monitoring will be conducted January 2019 for rental provisions and tenant eligibility. Monitoring of this property was delayed due to transition of program staff.
Bowen Avenue 2	6470	Bismarck	4	4	12/18/2018	In compliance with HQS inspection. File monitoring will be conducted January 2019 for rental provisions and tenant eligibility. Monitoring of this property was delayed due to transition of program staff.
Bowen Avenue 3 & 4	6718	Bismarck	4	4	12/18/2018	In compliance with HQS inspection. File monitoring will be conducted January 2019 for rental provisions and tenant eligibility. Monitoring of this property was delayed due to transition of program staff.
Brandon Heights II	4930	Bismarck	4	4	12/17/2018	In compliance with HQS inspection. File monitoring will be conducted January 2019 for rental provisions and tenant eligibility. Monitoring of this property was delayed due to transition of program staff.
Dakota Apartments	7827	Bismarck	3	3	12/18/2018	In compliance with HQS inspection. File monitoring will be conducted January 2019 for rental provisions and tenant eligibility. Monitoring of this property was delayed due to transition of program staff.
N 19th Street	8744	Bismarck	4	4	12/18/2018	In compliance with HQS inspection. File monitoring will be conducted January 2019 for rental provisions and tenant eligibility. Monitoring of this property was delayed due to transition of program staff.
ASI Linden Place	5582	Grand Forks	Not Due	1	2/27-3/1/2018	In compliance with rental provisions, tenant eligibility, and HQS inspection.
Aurora on Griggs Square	8298	Grand Forks	Not Due	2	2/27-3/1/2018	In compliance with rental provisions, tenant eligibility. Advised property management to include tenant income determination forms in file to and most current passing HQS inspection after any repairs are completed on maintenance reports.
Cherry Heights	8939	Grand Forks	Not Due	13	2/27-3/1/2018	In compliance with rental provisions, tenant eligibility. Advised property management to include tenant income determination forms in file, use average gross wages when calculating annual income, and most current passing HQS inspection after any repairs are completed on maintenance reports.
GFHA Supportive Housing	6895	Grand Forks	4	4	2/27-3/1/2018	In compliance with rental provisions, tenant eligibility, and HQS inspection.

Harvest Lodge	3701	Grand Forks	2	2	2/27-3/1/2018	In compliance with rental provisions, tenant eligibility, and HQS inspection. Advised property management to use average gross wages when calculating annual income.
Prairie Harvest	8865	Grand Forks	1	1	2/27-3/1/2018	In compliance with rental provisions, tenant eligibility, and HQS inspection.
RRVCA Tri-Plex	6141	Grand Forks	3	3	2/27-3/1/2018	In compliance with rental provisions, tenant eligibility. Advised property management to include most current passing HQS inspection in file after any repairs are completed on maintenance reports.
The Current Apartments	6750	Grand Forks	Not Due	4	2/27-3/1/2018	In compliance with rental provisions, tenant eligibility, and HQS inspection.
Riverside Manor	9057	Grand Forks	Not Due	8	2/27-3/1/2018	In compliance with rental provisions, tenant eligibility. Advised property management to include most current passing HQS inspection in file after any repairs are completed on maintenance reports.
St. Joseph's Village	7279	Grand Forks	2	2	2/27-3/1/2018	In compliance with rental provisions, tenant eligibility. Advised property management to include tenant income determination forms in file to and most current passing HQS inspection after any repairs are completed on maintenance reports.
Heritage Manor	1429	Williston	2	2	10/11/2018	In compliance with HQS inspection. File monitoring will be conducted January 2019 for rental provisions and tenant eligibility. Monitoring of this property was delayed due to transition of program staff.
Heritage Village	4620	Milnor	2	2	11/13/2018	In compliance with HQS inspection. File monitoring will be conducted January 2019 for rental provisions and tenant eligibility. Monitoring of this property was delayed due to transition of program staff.
Northwood Village	7136	Northwood	2	2	11/14/2018	In compliance with HQS inspection. File monitoring will be conducted January 2019 for rental provisions and tenant eligibility. Monitoring of this property was delayed due to transition of program staff.
Sunset Village II	3571	Lisbon	2	2	11/13/2018	In compliance with HQS inspection. File monitoring will be conducted January 2019 for rental provisions and tenant eligibility. Monitoring of this property was delayed due to transition of program staff.
ASI Northland	5402	Fargo	3	3	11/7/2018	In compliance with HQS inspection. File monitoring will be conducted January 2019 for rental provisions and tenant eligibility. Monitoring of this property was delayed due to transition of program staff.
TBRA	9281	Grand Forks	3	14	10/10/2017	In compliance with security deposit assistance provisions, tenant eligibility, lease provisions, and HQS inspection.

Homeowner Rehabilitation	9398	Park River	1	1	10/10/2017	In compliance with provisions of homeowner rehabilitation program, homeowner eligibility, and rehabilitation standards.
Homeowner Rehabilitation	9213	Grand Forks	1	1	10/10/2017	In compliance with provisions of homeowner rehabilitation program, homeowner eligibility, and rehabilitation standards.
Homeowner Rehabilitation	9225	Thompson	1	1	10/10/2017	In compliance with provisions of homeowner rehabilitation program, homeowner eligibility, and rehabilitation standards.
Homeowner Rehabilitation	9310	Lakota	1	1	10/10/2017	In compliance with provisions of homeowner rehabilitation program, homeowner eligibility, and rehabilitation standards.
Homeowner Rehabilitation	9426	Larimore	1	1	10/10/2017	In compliance with provisions of homeowner rehabilitation program, homeowner eligibility, and rehabilitation standards.
Villa De Remer	1440	Grafton	2	2	2/27/2018	In compliance with rental provisions, tenant eligibility, and HQS inspection.
		Total	79	123		



THE CITIZEN PARTICIPATION PLAN FOR THE NORTH DAKOTA CONSOLIDATED PLAN AND ANNUAL PERFORMANCE REPORT

January 2018-revised

**Division of Community Services
1600 East Century Avenue, Suite 2
PO Box 2057
Bismarck, ND 58502-2057
Phone: (701) 328-5300
Fax: (701) 328-5320
TTY: 800-366-6888
ND Relay Voice: 800-366-6889
ND Relay Spanish: 800-435-8590**



ALTERNATIVE FORMATS ARE AVAILABLE UPON REQUEST

North Dakota Department of Commerce: 1600 E. Century Avenue, Suite 2, P.O. Box 2057, Bismarck, ND 58502-2057 •
Phone: 701-328-5300 Toll-Free: 1-866-4DAKOTA • Fax: 701-328-5320 • ND Relay TTY: 1-800-366-6888 • Voice: 1-800-366-6889 • NDCommerce.com

PURPOSE

In 1994, the US Department of Housing and Urban Development issued new rules consolidating the planning, application, reporting, and citizen participation processes of four formula grant programs: Community Development Block Grants (CDBG), Home Investment Partnerships (HOME), Housing Trust Fund (HTF), Emergency Solutions Grants (ESG) and Housing Opportunities for Persons with AIDS (HOPWA). The new planning process was intended to more comprehensively fulfill three basic goals: to provide decent housing, to provide a suitable living environment and to expand economic opportunities.

The Consolidated Plan is actually a three-part planning process required by HUD. It comprises developing a five-year strategic plan, preparing annual action plans and submitting annual performance reports. These three parts are intended to furnish the framework whereby North Dakota can identify its housing, homeless, community, and economic development needs, identify resources that will be tapped and actions to be taken to address the needs, as well as look back and evaluate the state's progress toward achieving its strategic goals. Completing these documents on time and in a manner that is acceptable to HUD ensures program funding.

The precursor to the Consolidated Plan is the Citizen Participation Plan (CPP). The objectives of the CPP are to ensure that the citizens of North Dakota, particularly persons of low and moderate income, persons living in slum and blight areas, units of local government, housing agencies and other interested parties, are provided with the opportunity to participate in the planning and preparation of the Consolidated Plan, including amendments to the Consolidated Plan and the Annual Performance Report. In doing so, the CPP sets forth general policies and procedures for implementing and carrying out the consolidated planning process, such as how the Consolidated Plan will be developed, dates and milestones along which the process will proceed and methods for citizens to offer the state assistance and guidance in the formulation of the Plan. Furthermore, the provisions of the CPP fulfill statutory and regulatory requirements for citizen participation specified in the U.S. Department of Housing and Urban Development's rules for the Consolidated Plan, the HOME Investment Partnerships (HOME) Program, the Community Development Block Grant (CDBG) Program, the Housing Trust Fund (HTF), the Emergency Solutions Grants (ESG) Program and the Housing Opportunities for Persons with AIDS (HOPWA) Program. In North Dakota, the North Dakota Department of Commerce, Division of Community Services is the lead agency for developing, implementing, monitoring, and reporting on the achievements of the Consolidated Plan. The Division administers CDBG, HTF, ESG, HOME and new funding that may become available. HOPWA funds are administered by Tri-State HELP (Housing Environment for Living Positively).

The Consolidated Plan identifies the housing and community development needs of the State of North Dakota, and sets priorities for spending the HUD grant funds. Public comment is a vital component of identifying the state's housing and community development needs and spending priorities. The Consolidated Plan offers the opportunity for strategic statewide planning to occur alongside citizen participation.

COMMENT ON THE CITIZEN PARTICIPATION PLAN AND AMENDMENTS

North Dakota Department of Commerce will receive comments on the proposed Citizen Participation Plan during a 15 day comment period. In the event that there are substantial amendments to this Citizen Participation Plan, an additional comment period of at least 15 days will be allotted. This proposed Citizen Participation Plan (and, if necessary, the substantially amended Citizen Participation Plan) will be made available to the public before the 15 day comment period begins.

Individuals who require accommodations, including appropriate auxiliary aids, translated documents or interpreters to participate in a public hearing, or who would like to request a copy of the proposed Citizen Participation Plan, or another document, in an alternate format, should contact the Department of Commerce's Section 504 Coordinator, Shantel Dewald via email at dcs@nd.gov or by calling 701-328-5300, TTY: 800-366-6888, Spanish TTY: 800-435-8590 or Relay ND Voice: 800-366-6889.

ENCOURAGEMENT OF CITIZEN PARTICIPATION

The Consolidated Plan is designed to enumerate North Dakota's overall strategy for coordinating federal and other housing and community development resources to provide decent housing, establish and maintain a suitable living environment, and expand economic opportunities, particularly for low and moderate income persons.

Interested groups and individuals are encouraged to provide input into all aspects of North Dakota's consolidated planning activities, from assessing needs to setting priorities through performance evaluation. By following the CPP, there will be numerous opportunities for citizens to contribute information, ideas and opinions about ways to improve our neighborhoods, promote housing affordability and enhance the delivery of public services to local residents.

Affirmative efforts to encourage and solicit participation from the state's residents and populations least likely to have awareness of HUD-funded programs and processes including low-income residents, individuals with disabilities, racial and ethnic minorities, and female-headed households shall include:

1. Advertising the details of the CPP (e.g. documents for public review, meetings, opportunities to provide feedback and comment) in the State's daily newspapers, and those daily or non-daily publications on or near the Turtle Mountain Band of Chippewa, Spirit Lake Sioux, Standing Rock Sioux, Wahpeton Sisseton Sioux, the Three Affiliated Tribes reservations and Trenton Indian Health Service Area located West of Williston, North Dakota;
2. Sending notice of the CPP (e.g. documents for public review, meetings, opportunities to provide feedback and comment) with advocacy groups, non-profit and for profit organizations, and state and community agencies that provide services to or advocate for low-income individuals, individuals with disabilities, racial and ethnic minorities and female-headed households; and
3. Posting Notifications on the DCS website.

LIMITED ENGLISH PROFICIENT PERSONS AND THE LANGUAGE ACCESS PLAN

The State of North Dakota will make every effort to ensure that Limited English Proficient (LEP) persons have meaningful access to federally funded programs and services as is required under Title VI of the Civil Rights Act of 1964.

The State of North Dakota has a diverse population where many languages are spoken. A substantial number of persons that speak these languages do not speak English or do not speak English very well and are considered Limited English Proficient (LEP).

Regardless of which language a person speaks or their ability to speak English, the State of North Dakota will make every effort to ensure that they have meaningful access to federal funding services through either oral interpretation or written translations of vital documents. Since the State of North Dakota has a number of LEP persons, all countywide public notices and public hearings must ensure that language services are provided or available. For example, each year the public notice for the Annual Action Plan will be printed in various languages and translation services will be provided as necessary for the public hearing.

However, many programs and services delivered within the State of North Dakota, including those carried out by participating cities, have distinct service areas and, as such, an assessment must be made by each agency administering the activity to determine which language services should be provided based on the identified LEP population in the service area.

To assist participating agencies, the State of North Dakota has developed a bulletin instructing them to conduct the four-factor analysis and develop their own Language Access Plan (LAP) to ensure that LEP persons have meaningful access to their federally funded programs and services. The state will also provide technical assistance to assist the agencies in conducting the four-factor analysis and in developing their Language Access Plans.

The four-factor analysis is as follows:

Factor 1: Determine the number or proportion of LEP persons served or encountered in the eligible service area.

Factor 2: Determine the frequency with which LEP persons come in contact with the program.

Factor 3: Determine the importance of the information, services, program, or the activity to people's lives.

Factor 4: Assess costs versus resources and benefits in providing language services.

The State of North Dakota is confident that no person will be denied federally funded services based on their ability to speak English.

The State of North Dakota is committed to keeping all interested groups and individuals informed of each phase of the consolidated planning process and of activities being proposed or undertaken under HUD formula grant programs. Opportunities to comment on or participate in planning community development and affordable housing activities and projects will be publicized and disseminated throughout the state.

PUBLIC HEARINGS

Eight public hearings will be held to gather comments on the Consolidated Plan. The hearing will be held prior to drafting of the plan.

A summary of the written and oral public comments from individuals or groups received in writing or at the public meetings will be considered for the Consolidated Plan.

Venues used for interviews, focus groups, grant applications, and public meetings are fully accessible to people with disabilities that impact mobility. Should a venue not be fully accessible, the individual(s) should contact the Department of Commerce's Section 504 Coordinator, Shantel Dewald via email at dcs@nd.gov or by calling 701-328-5300, TTY: 800-366-6888, Spanish TTY: 800-435-8590 or Relay ND Voice: 800-366-6889.

PUBLICATION OF CONSOLIDATED PLAN DOCUMENTS

The state will publish the draft Consolidated Plan or Annual Action Plan for public review in a manner that affords citizens, public agencies and other interested parties a reasonable opportunity to examine its contents and submit comments. The Draft Plan will be a complete document and shall include:

- The amount of assistance the state agencies expect to receive, and

- The range of activities that may be undertaken, including the estimated amount that will benefit persons of low and moderate income.

A notice for the release of the Draft Plan will be published in several newspapers of general circulation at the beginning of the public comment period. The release will include a list of the locations where copies of the entire proposed Consolidated Plan may be obtained or examined. The following are among the locations where copies of the public comment draft will be made available for inspection:

- North Dakota Department of Commerce, Division of Community Services' Offices
- Division of Community Services website: <http://www.communityservices.nd.gov/>

Citizens and groups may obtain a reasonable number of free copies of the proposed Consolidated Plan by contacting the Department of Commerce's Section 504 Coordinator, Shantel Dewald via email at dcs@nd.gov or by calling 701-328-5300, TTY: 800-366-6888, Spanish TTY: 800-435-8590 or Relay ND Voice: 800-366-6889.

PUBLIC COMMENTS ON THE DRAFT CONSOLIDATED PLAN AND ANNUAL ACTION PLANS

One public meeting will be held in each of the State's Regional Planning Districts prior to drafting the Consolidated Plan, to gather input from citizens regarding proposed changes. Written comments and citizen participation by low and moderate income individuals, minorities, non-English speaking persons and persons with disabilities will be encouraged through the affirmative efforts outlined in the Encouragement of Citizen Participation section on page 2.

Notices of the meetings will be published in the daily newspapers across the state no less than 15 days prior to the meeting and a press release will be forwarded to all newspapers statewide. DCS will also attempt to email a copy of the notice or press release to interested parties.

The proposed Consolidated Plan will be made available to interested parties for a comment period no less than 30 days.

Along with the notification that the proposed Consolidated Plan is available for public comment, a summary of the proposed plan's contents and purpose will be published. The notification will be published on or before the day the proposed Consolidated Plan comment period begins. Locations where copies of the entire proposed plan may be obtained will also be stated in the notice.

The proposed Consolidated Plan will be available at DCS's website at www.communityservices.nd.gov/ for the full public comment period. Copies of the proposed plan will also be available from DCS during the public comment period.

PUBLIC OUTREACH

An informed citizenry is critical to effective and responsive housing and community development programs. Efforts to educate residents and empower their participation are an ongoing element of the consolidated planning process.

Public education and outreach will be facilitated through the use of public advertisements that describe the consolidated planning process, opportunities for citizen participation and available funding through the CDBG, HTF, ESG, and HOME programs.

TECHNICAL ASSISTANCE

Groups or individuals interested in obtaining technical assistance to develop project proposals or applying for funding assistance through HUD formula grant programs covered by the Consolidated Plan or Annual Action Plan may contact the staff of the North Dakota Department of Commerce, Division of Community Services. Such assistance may be of particular use to community development organizations, nonprofit service providers, and for-profit and nonprofit housing development groups that serve or represent persons of low and moderate income.

SUBSTANTIAL AMENDMENT CRITERIA FOR THE CONSOLIDATED PLAN

The following will constitute a substantial amendment to the Consolidated Plan:

1. A change in the method of distribution or grant selection criteria.
2. The addition of an activity not initially identified in the Consolidated Plan.
3. Funding of an activity not identified in the Consolidated Plan as a high or medium priority.

ADMINISTRATIVE AMENDMENTS

Administrative amendments are those that are not considered substantial in nature and pertain chiefly to minor administrative modifications of the programs. Thus they do not require in-depth citizen participation.

CITIZEN PARTICIPATION IN THE EVENT OF A SUBSTANTIAL AMENDMENT

In the event of a substantial amendment to the Consolidated Plan, the Community Services Division, depending on the nature of the amendment, will conduct at least one additional public hearing. This hearing will follow a comment period of no less than 30 days, where the proposed substantially amended Consolidated Plan will be made available to interested parties. Citizens will be informed of the public hearing through daily newspaper

notification and the state websites prior to the hearing.

Citizens will be notified of the substantially amended Consolidated Plan's availability through newspaper notification prior to the 30-day comment period. The substantially amended sections of the Consolidated Plan will be available on the Division of Community Services' website, www.communityservices.nd.gov/ or the full public comment period.

CONSIDERATION OF PUBLIC COMMENTS ON THE SUBSTANTIALLY AMENDED PLAN

In the event of substantial amendments to the Consolidated Plan, the state will openly consider any comments on the substantially amended Consolidated Plan from individuals or groups. Comments must be received in writing or at public hearings. A summary of the written and public hearing comments on the substantial amendments will be included in the amended Consolidated Plan. The final Consolidated Plan will also include a summary of all comments not accepted and their reasons for dismissal.

AMENDMENT CRITERIA FOR THE CDBG PROGRAM DISTRIBUTION STATEMENT

Amendments to Program Statement Affecting the Action Plan of the Consolidated Plan as stated in the Citizen Participation Plan for the North Dakota Consolidated Plan and Annual Performance Report.

The following information must be submitted to DCS when amending Regional Program Statements:

1. The Regional Council Board or its designated committee must approve amendments to the Regional Program Statement prior to notifying cities, counties and other interested parties.
2. All cities, counties and other interested parties in the region must be notified in writing of the intended change and allow 30 days for comment. The written notice should be sent in letter or memo form.
3. A copy of the notification must be submitted to the DCS **within 10 working days of the date of the notification**, along with a copy of the board approval and the minutes approving the amendment. **The 15-day comment period must be completed by the application/amendment deadline as stated in the most current Program Distribution Statement.**
4. Upon receipt of the intended change, DCS will forward a copy of all changes to HUD.
5. Changes to the Regional Program Statement cannot be implemented prior to the 30 day process.

What constitutes an amendment to the Action Plan? (the following are examples, but the list is not inclusive)

1. Changes to initially established grant selection criteria.
2. Funding of activities not initially identified in the annual Action Plan.
3. Funding of an activity not identified in the Plan as a high or medium priority.

Amendments to Regional Program Statement for the CDBG Program as stated in the Citizen Participation Plan for the North Dakota Consolidated Plan and Annual Performance Report.

The following information must be submitted to the DCS when amending Regional Program Statements:

1. The Regional Council Board or its designated committee must approve amendments to the Regional Program Statement prior to notifying cities, counties and other interested parties.
2. All cities, counties and other interested parties in the region must be notified in writing of the changes to be made and given 15 days to respond. The written notice should be sent in letter or memo form. **The 15-day comment period must be completed by the application/amendment deadline as stated in the most current Program Distribution Statement.**
3. Changes to the Regional Program Statement cannot be implemented prior to notifying cities, counties and other interested parties.
4. A copy of the notification must be submitted to the DCS **within 10 working days after the end of the 15-day comment period**, along with a copy of the board approval and the minutes approving the amendment. **If the notification is not submitted within the required time frame, the amendment will not be valid.**

What constitutes an amendment to the CDBG Program? (the following are examples, but the list is not inclusive)

1. Transferring money from one emphasis area to another.
2. Modifications to deadline dates.

EXCEPTION:

Transfers without Notice

1. After scoring and ranking has occurred, transfers between funding categories that are \$20,000 and less can be made without public notice.

2. If a balance remains in a funding category, and not all applications received for that category were funded, an explanation must be provided why the applicant(s) were not offered the balance of the funds remaining.
3. Transfers without notice will be limited to two transfers per year.
4. The DCS must be notified of all transfers in writing within 10 days of the Board decision, along with a copy of the board approval and the minutes approving the transfer.

NOTE: This policy applies to allocation funds only. Revolving Loan funds can only be used for Economic Development projects.

AMENDMENT CRITERIA FOR THE HOME PROGRAM DISTRIBUTION STATEMENT

The state may relocate funds from one category to another, or one state recipient or subrecipient to another, because of high demand, an emergency due to a natural disaster, or determinations that performance-based measures have not been met.

State program income received by DCS may be allocated, at our discretion, for any project or activity deemed necessary.

The application process for CHDOs/Nonprofits will be competitive for the first round if any funds remain, and it will be on a first-come, first-serve based needs.

CHANGES IN FEDERAL FUNDING LEVEL

Any changes in federal funding level after the Consolidated Plan and Annual Action Plan's draft comment period has expired and the resulting effect on the distribution of funds will not be considered an amendment or a substantial amendment.

ANNUAL PERFORMANCE REPORTS

Performance reports on CDBG, HOME, HTF, and ESG programs covered by the Consolidated Plan or Annual Action Plan are to be prepared by the North Dakota Department of Commerce, Division of Community Services within 90 days after the end of each program year. Draft performance reports will be made available upon written request. The draft performance report will be available for comment for no less than 15 days, and any public comments received in writing will be reported in an addendum to the final performance report.

ACCESS TO RECORDS

To the extent allowed by law, interested citizens and organizations shall be afforded reasonable and timely access to records covering the preparation of the Consolidated Plan

or Annual Action Plan, project evaluation and selection, HUD's comments on the Plan and annual performance reports. In addition, materials on formula grant programs covered by the Consolidated Plan or Annual Action Plan, including activities undertaken in the previous five years, will be made available to any member of the public who requests information. A complete file of citizen comments will also be available for review by interested parties.

COMPLAINTS AND GRIEVANCES

Citizens, administering agencies and other interested parties may submit complaints and grievances regarding the programs the North Dakota Department of Commerce, Division of Community Services administers. Complaints should be in writing, specific in their subject matter, and include facts to support allegations. The following are considered to constitute complaints to which a response is due:

- The administering agency has purportedly violated a provision of the Citizen Participation Plan;
- The administering agency has purportedly violated a provision of federal CDBG, ESG, HTF, or HOME program regulations; and
- The administering agency, or any of its contractors, has purportedly engaged in questionable practices resulting in waste, fraud or mismanagement of any program funds.

Residents may also present complaints and grievances orally or in writing at the community meetings and/or public hearing. All public comments, including complaints and grievances, made either orally or in writing within the 30-day public comment period, will be included in the final Consolidated Plan or Annual Action Plan. Such complaints or grievances for CDBG, HOME, HTF, or ESG shall be directed to the Consolidated Plan representative at the Community Services Division.

TIMELY RESPONSE TO COMPLAINTS OR GRIEVANCES

Upon receipt of a written complaint, the designated representative at Community Services Division shall respond to the complainant within 15 calendar days and maintain a copy of all related correspondence, which will be subject to review.

Within 15 calendar days of receiving the complaint, the designated representative shall discuss the matter with the department manager and respond to the complainant in writing. A copy of the Community Services Division response will be transmitted, concurrently, to the complainant and to the division directors. If, due to unusual circumstances, the designated representative finds that he/she is unable to meet the prescribed time limit, the limit may be extended by written notice to the complainant. The designated representative's notice must include the reason for the extension and the date on which a response is expected to be generated, which may be based on the nature and complexity of the complaint.

Public review materials and performance reports will include data, as appropriate under confidentiality regulations, on any written complaints received and how each was resolved.

ACTIVITIES EXEMPT FROM SUBSTANTIAL AMENDMENT CITIZEN PARTICIPATION REQUIREMENTS

URGENT NEEDS

It may be necessary to amend the Consolidated Plan or Annual Action Plan in the event of an emergency such as a natural disaster. These amendments may include funding new activities and/or the reprogramming of funds including canceling activities to meet community development needs that have a particular urgency. Therefore the State of North Dakota may utilize its HOME or CDBG funds to meet an urgent need without the normal public comment period, which is otherwise required for substantial amendments.

To comply with the national objective of meeting community development needs having a particular urgency, an activity will alleviate existing conditions that the State of North Dakota certifies:

- Pose a serious and immediate threat to the health and welfare of the community;
- Are of recent origin or recently became urgent;
- The state is unable to finance the activity on its own; or
- Other resources of funding are not available to carry out the activity.

A condition will generally be considered to be of recent origin if it is developed or became critical within 18 months preceding the Community Services Division's certification.

AVAILABILITY OF THE CITIZEN PARTICIPATION PLAN (CPP)

Citizens and groups may obtain a reasonable number of free copies of the proposed CPP by contacting the Department of Commerce's Section 504 Coordinator, Shantel Dewald via mail at dcs@nd.gov or by calling 701-328-5300, TTY: 800-366-6888, Spanish TTY: 800-435-8590 or Relay ND Voice: 800-366-6889.

PR 28 – PER Financial Summary 2017

IDIS - PR28

U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 State of North Dakota
 Performance and Evaluation Report
 For Grant Year 2017
 As of 06/29/2018

06-29-18
 15:22
 1

Grant Number B17DC380001

Part I: Financial Status

A. Sources of State CDBG Funds

1)	State Allocation	\$3,674,491.00
2)	Program Income	
3)	Program income received in IDIS	\$719,282.82
3 a)	Program income received from Section 108 Projects (for S1 type)	\$0.00
4)	Adjustment to compute total program income	
5)	Total program income (sum of lines 3 and 4)	\$719,282.82
6)	Section 108 Loan Funds	
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$4,393,773.82

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	\$484,217.08
10)	Adjustment to compute total obligated to recipients	
11)	Total obligated to recipients (sum of lines 9 and 10)	\$484,217.08
12)	Set aside for State Administration	\$315,924.20
13)	Adjustment to compute total set aside for State Administration	
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$315,924.20
15)	Set aside for Technical Assistance	\$36,745.00
16)	Adjustment to compute total set aside for Technical Assistance	
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$36,745.00
18)	State funds set aside for State Administration match	
19)	Program Income	
20)	Returned to the state and redistributed	\$0.00
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$719,282.82
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	
25)	Total not yet redistributed (sum of lines 23 and 24)	\$719,282.82
26)	Retained by recipients	\$0.00
27)	Adjustment to compute total retained	
28)	Total retained (sum of lines 26 and 27)	\$0.00

C. Expenditures of State CDBG Resources

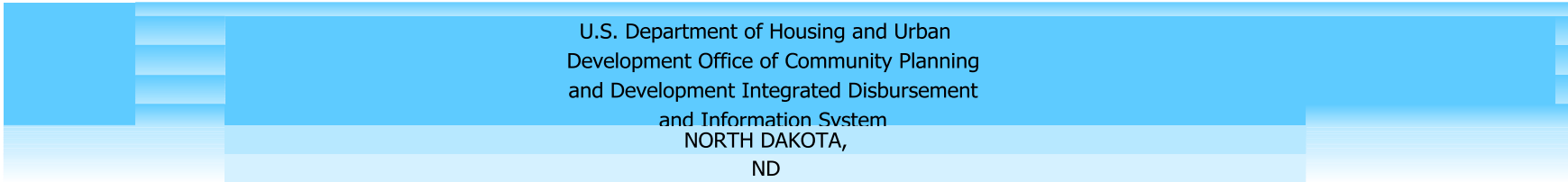
29)	Drawn for State Administration	\$60,397.24
30)	Adjustment to amount drawn for State Administration	
31)	Total drawn for State Administration	\$60,397.24
32)	Drawn for Technical Assistance	\$0.00
33)	Adjustment to amount drawn for Technical Assistance	
34)	Total drawn for Technical Assistance	\$0.00
		-\$36,745.00
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$0.00
39)	Adjustment to amount drawn for all other activities	
40)	Total drawn for all other activities	\$717,964.40

D. Compliance with Public Service (PS) Cap		
41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$3,674,491.00
46)	Program Income Received (line 5)	\$719,282.82
47)	Adjustment to compute total subject to PS cap	
48)	Total subject to PS cap (sum of lines 45-47)	\$4,393,773.82
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%
E. Compliance with Planning and Administration (P/A) Cap		
50)	Disbursed in IDIS for P/A from all fund types - Combined	\$70,485.21
51)	Adjustment to compute total disbursed for P/A	
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$70,485.21
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$3,674,491.00
55)	Program Income Received (line 5)	\$719,282.82
56)	Adjustment to compute total subject to P/A cap	
57)	Total subject to P/A cap (sum of lines 54-56)	\$4,393,773.82
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	1.60%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$0.00
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$3,674,491.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	0.00%

Part II: Compliance with Overall Low and Moderate Income Benefit

- 63) Period specified for benefit: grant years _____ — _____
- 64) Final PER for compliance with the overall benefit test: [_____]

PR 91 – ESG Financial Summary 2017

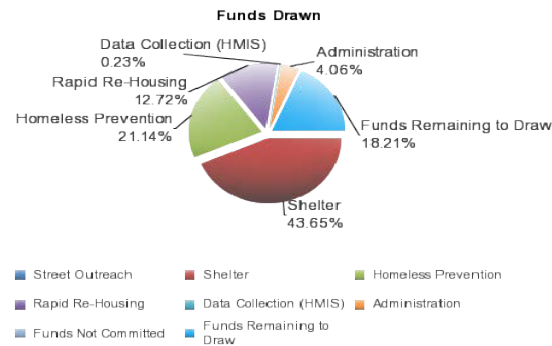
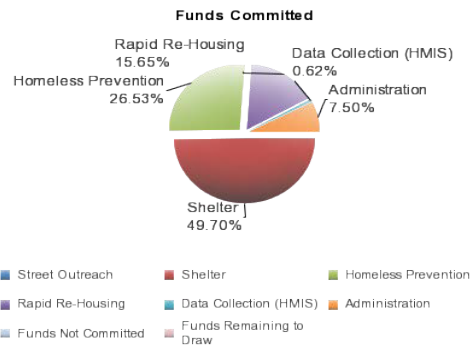


ESG Program Level Summary

Grant Number	Total Grant Amount	Total Funds Committed	Total Funds Available to Commit	% of Grant Funds Not Committed	Grant Funds Drawn	% of Grant Funds Drawn	Available to Draw	% Remaining to Draw
E17DC380001	\$657,162.00	\$657,162.00	\$0.00	0.00%	\$537,514.02	81.79%	\$119,647.98	18.21%

ESG Program Components

Activity Type	Total Committed to Activities	% of Grant Committed	Drawn Amount	% of Grant Drawn
Street Outreach	\$0.00	0.00%	\$0.00	0.00%
Shelter	\$326,603.35	49.70%	\$286,820.02	43.65%
Homeless Prevention	\$174,369.92	26.53%	\$138,900.44	21.14%
Rapid Re-Housing	\$102,826.73	15.65%	\$83,607.61	12.72%
Data Collection (HMIS)	\$4,075.00	0.62%	\$1,525.98	0.23%
Administration	\$49,287.00	7.50%	\$26,659.97	4.06%
Funds Not Committed	\$0.00	0.00%	\$0.00	0.00%
Funds Remaining to Draw	\$0.00	0.00%	\$119,647.98	18.21%
Total	\$657,162.00	100.00%	\$657,162.00	100.00%



24-Month Grant Expenditure Deadline

All of the recipient's grant must be expended for eligible activity costs within 24 months after the date HUD signs the grant agreement with the recipient. Expenditure means either an actual cash disbursement for charge for a good or service or an indirect cost or the accrual of a direct charge for a good or service or an indirect cost. This report uses draws in IDIS to measure expenditures. HUD allocated Fiscal Year 2011 ESC two allocations. For FY 2011, this Obligation Date is the date of the first allocation. This report does not list the Obligation Date, does not calculate the Expenditure Deadline, and does not track the Days Remaining for 2011 second allocation.

Grant Amount: \$657,162.00

Grant Number	Draws to Date	Date	Deadline	Meet Requirement	Required
E17DC380001	\$537,514.02	09/22/2017	09/22/2019	450	\$119,647.98

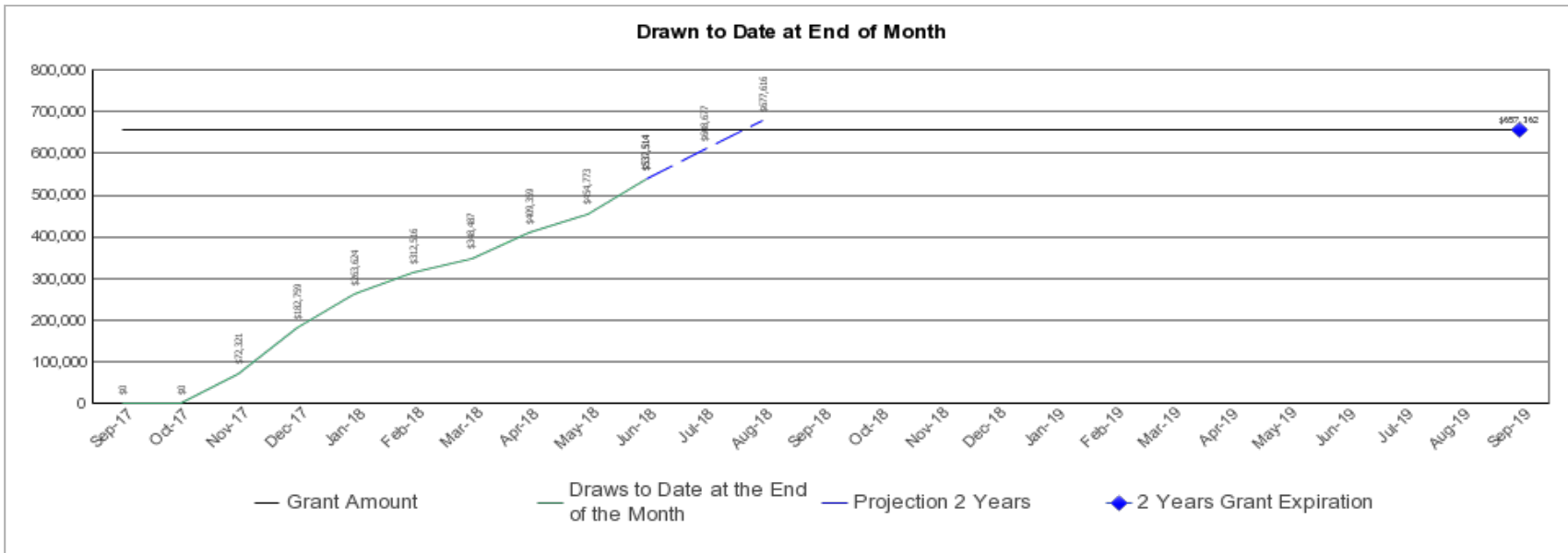
60% Cap on Emergency Shelter and Street Outreach

The cap refers to the total amount of the recipient's fiscal year grant, allowed for emergency shelter and street outreach activities, is capped at 60 percent. This amount cannot exceed the greater of: (1) 60% of the original grant for the year; or, (2) the amount of Fiscal Year 2010 ESG funds committed for homeless assistance activities.

Shelter	Outreach	Shelter and Street Outreach	Street Outreach	Homeless Assistance	Street Outreach	Street Outreach
\$326,603.35	\$0.00	\$326,603.35	49.70%	\$200,847.36	\$286,820.02	

ESG Draws By Month (at the total grant level):

Grant Amount: \$657,162.00



ESG Draws By Quarter (at the total grant level):

Quarter End Date	Quarter	the End of the	Quarter	at End of Quarter
09/30/2017	\$0.00	\$0.00	0.00%	0.00%
12/31/2017	\$182,759.21	\$182,759.21	27.81%	27.81%
03/31/2018	\$165,727.73	\$348,486.94	25.22%	53.03%
06/30/2018	\$189,027.08	\$537,514.02	28.76%	81.79%

ESG Subrecipient Commitments and Draws by Activity Category:

Subrecipient	Activity Type	Committed	Drawn
NORTH DAKOTA	Administration	\$49,287.00	\$26,659.97
	Total	\$49,287.00	\$26,659.97
	Total Remaining to be Drawn		\$22,627.03
	Percentage Remaining to be Drawn		45.91%
RUTH MEIERS HOSPITALITY HOUSE	Shelter	\$32,020.00	\$32,020.00
	Data Collection (HMIS)	\$1,000.00	\$1,000.00
	Total	\$33,020.00	\$33,020.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
SOUTHEASTERN NORTH DAKOTA COMMUNITY ACTION	Homeless Prevention	\$20,000.00	\$19,221.00
	Rapid Re-Housing	\$20,000.00	\$13,956.99
	Total	\$40,000.00	\$33,177.99
	Total Remaining to be Drawn		\$6,822.01
	Percentage Remaining to be Drawn		17.06%
COMMUNITY ACTION PROG. REG VII	Homeless Prevention	\$20,000.00	\$20,000.00
	Total	\$20,000.00	\$20,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
RED RIVER COMMUNITY ACTION (GRAND FORKS CAA)	Shelter	\$8,550.00	\$8,550.00
	Homeless Prevention	\$28,555.00	\$26,380.00
	Rapid Re-Housing	\$11,445.00	\$9,252.79
	Total	\$48,550.00	\$44,182.79
	Total Remaining to be Drawn		\$4,367.21
	Percentage Remaining to be Drawn		9.00%
ABUSED ADULT RESOURCE CENTER	Shelter	\$10,563.85	\$6,592.68
	Homeless Prevention	\$4,411.42	\$4,411.42
	Rapid Re-Housing	\$5,699.73	\$5,176.33
	Total	\$20,675.00	\$16,180.43
	Total Remaining to be Drawn		\$4,494.57
	Percentage Remaining to be Drawn		21.74%
COMMUNITY VIOLENCE INTERVENTION	Shelter	\$26,000.00	\$18,528.93
	Total	\$26,000.00	\$18,528.93
	Total Remaining to be Drawn		\$7,471.07
	Percentage Remaining to be Drawn		28.73%
	Shelter	\$12,750.00	\$6,432.35
	Total	\$12,750.00	\$6,432.35

DOMESTIC VIOLENCE CRISIS CENTER, INC	Total Remaining to be Drawn		\$6,317.65
	Percentage Remaining to be Drawn		49.55%
FAMILY CRISIS SHELTER, INC	Shelter	\$16,000.00	\$11,770.69
	Total	\$16,000.00	\$11,770.69
	Total Remaining to be Drawn		\$4,229.31
	Percentage Remaining to be Drawn		26.43%
GLADYS RAY SHELTER - CITY OF FARGO	Shelter	\$17,000.00	\$17,000.00
	Rapid Re-Housing	\$16,010.50	\$10,000.00
	Total	\$33,010.50	\$27,000.00
	Total Remaining to be Drawn		\$6,010.50
	Percentage Remaining to be Drawn		18.21%
NEW LIFE CENTER	Shelter	\$22,000.00	\$22,000.00
	Total	\$22,000.00	\$22,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
WELCOME HOUSE	Shelter	\$10,000.00	\$5,000.00
	Homeless Prevention	\$0.00	\$0.00
	Rapid Re-Housing	\$0.00	\$0.00
	Data Collection (HMIS)	\$3,000.00	\$450.98
	Total	\$13,000.00	\$5,450.98
	Total Remaining to be Drawn		\$7,549.02
	Percentage Remaining to be Drawn		58.07%
WOMEN'S ACTION AND RESOURCE CENTER	Shelter	\$10,675.00	\$10,210.07
	Total	\$10,675.00	\$10,210.07
	Total Remaining to be Drawn		\$464.93
	Percentage Remaining to be Drawn		4.36%
YOUTHWORKS	Shelter	\$33,500.00	\$33,500.00
	Total	\$33,500.00	\$33,500.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
YWCA CASS CLAY	Shelter	\$21,000.00	\$14,269.16
	Total	\$21,000.00	\$14,269.16
	Total Remaining to be Drawn		\$6,730.84
	Percentage Remaining to be Drawn		32.05%
DOMESTIC VIOLENCE & RAPE CRISIS CENTER, INC	Shelter	\$15,000.00	\$13,578.49
	Total	\$15,000.00	\$13,578.49
	Total Remaining to be Drawn		\$1,421.51
	Percentage Remaining to be Drawn		9.48%
	Shelter	\$5,000.00	\$2,944.70

ST. JOSEPH'S SOCIAL CARE	Homeless Prevention	\$23,298.50	\$21,917.04
	Rapid Re-Housing	\$6,201.50	\$5,688.35
	Total	\$34,500.00	\$30,550.09
	Total Remaining to be Drawn		\$3,949.91
	Percentage Remaining to be Drawn		11.45%
SAFE ALTERNATIVES FOR ABUSED FAMILIES	Shelter	\$10,000.00	\$10,000.00
	Rapid Re-Housing	\$2,000.00	\$1,324.10
	Total	\$12,000.00	\$11,324.10
	Total Remaining to be Drawn		\$675.90
	Percentage Remaining to be Drawn		5.63%
SHELTER FOR HOMELESS, INC	Shelter	\$33,750.00	\$33,750.00
	Total	\$33,750.00	\$33,750.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
YWCA MINOT	Shelter	\$5,500.00	\$5,500.00
	Rapid Re-Housing	\$2,000.00	\$2,000.00
	Total	\$7,500.00	\$7,500.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
SALVATION ARMY - BISMARCK	Homeless Prevention	\$14,075.00	\$8,795.00
	Rapid Re-Housing	\$8,000.00	\$7,493.05
	Total	\$22,075.00	\$16,288.05
	Total Remaining to be Drawn		\$5,786.95
	Percentage Remaining to be Drawn		26.21%
SALVATION ARMY - JAMESTOWN	Homeless Prevention	\$35,000.00	\$19,145.98
	Rapid Re-Housing	\$10,000.00	\$7,246.00
	Total	\$45,000.00	\$26,391.98
	Total Remaining to be Drawn		\$18,608.02
	Percentage Remaining to be Drawn		41.35%
SALVATION ARMY - GRAND FORKS	Homeless Prevention	\$4,030.00	\$4,030.00
	Rapid Re-Housing	\$3,970.00	\$3,970.00
	Total	\$8,000.00	\$8,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
Aid, Inc.	Homeless Prevention	\$15,000.00	\$15,000.00
	Total	\$15,000.00	\$15,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
	Shelter	\$17,305.00	\$15,227.95

Fraser, Ltd.	Data Collection (HMIS)	\$75.00	\$75.00
	Total	\$17,380.00	\$15,302.95
	Total Remaining to be Drawn		\$2,077.05
	Percentage Remaining to be Drawn		11.95%
Minot Area Men's Winter Refuge	Shelter	\$10,000.00	\$9,955.50
	Total	\$10,000.00	\$9,955.50
	Total Remaining to be Drawn		\$44.50
	Percentage Remaining to be Drawn		0.45%
Salvation Army - Williston	Shelter	\$9,989.50	\$9,989.50
	Total	\$9,989.50	\$9,989.50
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
Presentation Partners in Housing	Homeless Prevention	\$10,000.00	\$0.00
	Rapid Re-Housing	\$17,500.00	\$17,500.00
	Total	\$27,500.00	\$17,500.00
	Total Remaining to be Drawn		\$10,000.00
	Percentage Remaining to be Drawn		36.36%

ESG Subrecipients by Activity Category

Activity Type	Subrecipient
Shelter	RUTH MEIERS HOSPITALITY HOUSE
	RED RIVER COMMUNITY ACTION (GRAND FORKS CAA)
	ABUSED ADULT RESOURCE CENTER
	COMMUNITY VIOLENCE INTERVENTION
	DOMESTIC VIOLENCE CRISIS CENTER, INC
	FAMILY CRISIS SHELTER, INC
	GLADYS RAY SHELTER - CITY OF FARGO
	NEW LIFE CENTER
	WELCOME HOUSE
	WOMEN'S ACTION AND RESOURCE CENTER
	YOUTHWORKS
	YWCA CASS CLAY
	DOMESTIC VIOLENCE & RAPE CRISIS CENTER, INC
	ST. JOSEPH'S SOCIAL CARE
	SAFE ALTERNATIVES FOR ABUSED FAMILIES
	SHELTER FOR HOMELESS, INC
	YWCA MINOT

	Fraser, Ltd.
	Minot Area Men's Winter Refuge
	Salvation Army - Williston
Homeless Prevention	SOUTHEASTERN NORTH DAKOTA COMMUNITY ACTION
	COMMUNITY ACTION PROG. REG VII
	RED RIVER COMMUNITY ACTION (GRAND FORKS CAA)
	ABUSED ADULT RESOURCE CENTER
	WELCOME HOUSE
	ST. JOSEPH'S SOCIAL CARE
	SALVATION ARMY - BISMARCK
	SALVATION ARMY - JAMESTOWN
	SALVATION ARMY - GRAND FORKS
	Aid, Inc.
	Presentation Partners in Housing
Rapid Re-Housing	SOUTHEASTERN NORTH DAKOTA COMMUNITY ACTION
	RED RIVER COMMUNITY ACTION (GRAND FORKS CAA)
	ABUSED ADULT RESOURCE CENTER
	GLADYS RAY SHELTER - CITY OF FARGO
	WELCOME HOUSE
	ST. JOSEPH'S SOCIAL CARE
	SAFE ALTERNATIVES FOR ABUSED FAMILIES
	YWCA MINOT
	SALVATION ARMY - BISMARCK
	SALVATION ARMY - JAMESTOWN
	SALVATION ARMY - GRAND FORKS
	Presentation Partners in Housing
Data Collection (HMIS)	RUTH MEIERS HOSPITALITY HOUSE
	WELCOME HOUSE
	Fraser, Ltd.
Administration	NORTH DAKOTA